

Mechanics of Earthquakes and Faulting

Lecture 14 , 18 Mar. 2021

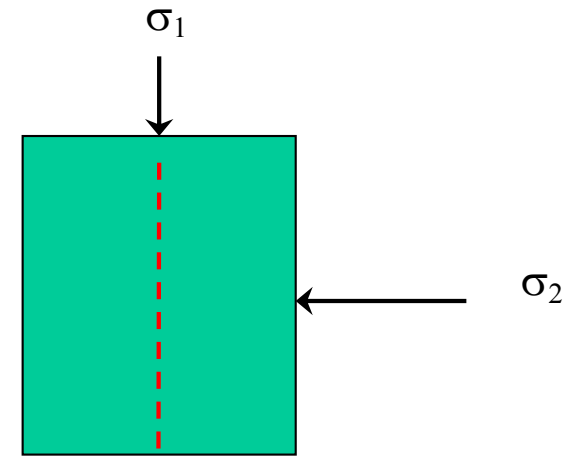
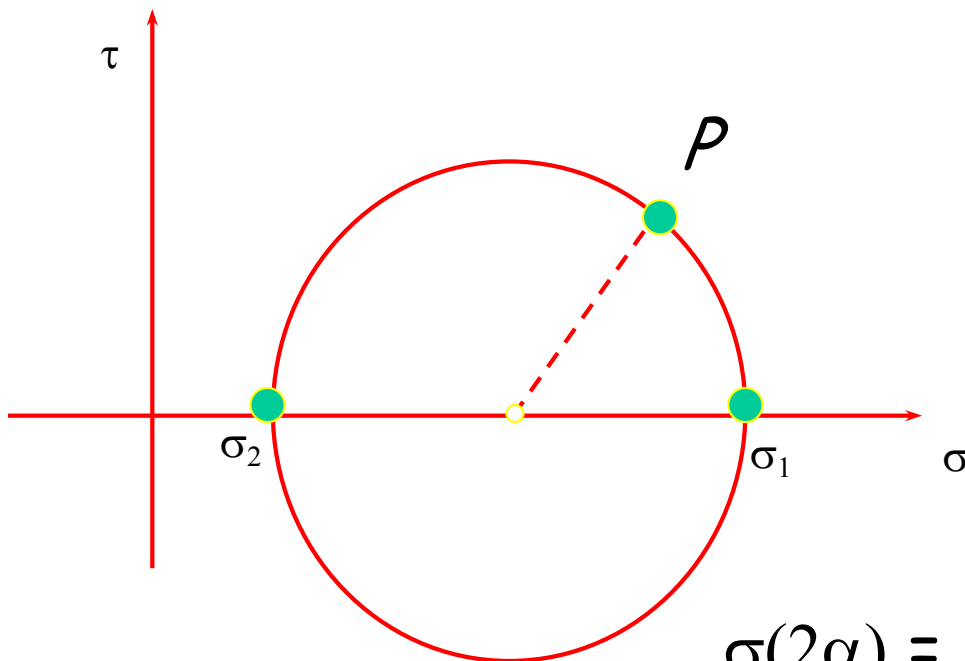
www.geosc.psu.edu/Courses/Geosc508

- Role of healing for connecting friction to fracture mechanics
- Earthquake nucleation and frictional instability; Nucleation size
- Slow earthquakes and the opportunity to further investigate the application of rate state friction laws to instability.
- Recent lab work showing repetitive stick-slip instability for the complete spectrum of slip behaviors – A new opportunity to investigate the mechanics of slow slip
- Mechanisms: Why are they slow?
- Quasi-dynamic frictional instability (positive feedback, self-driven instability)

Imagine that you're in a restaurant with some friends. The owner stops by to say hello and after hearing that you're a geophysicist she challenges you to write down the Shear and Normal Stress on a Plane of Arbitrary Orientation given the principal stresses.

She calls the waiter over and he gives you a couple extra napkins and a pencil and says, don't worry about the third dimension because that's always in the fault plane for simple (Andersonian) faulting. So you know that you can just use two principal stresses. The maximum and minimum stress. Go ahead and call them σ_1 and σ_2

Ok, get to work! You've got to finish before he brings the drinks

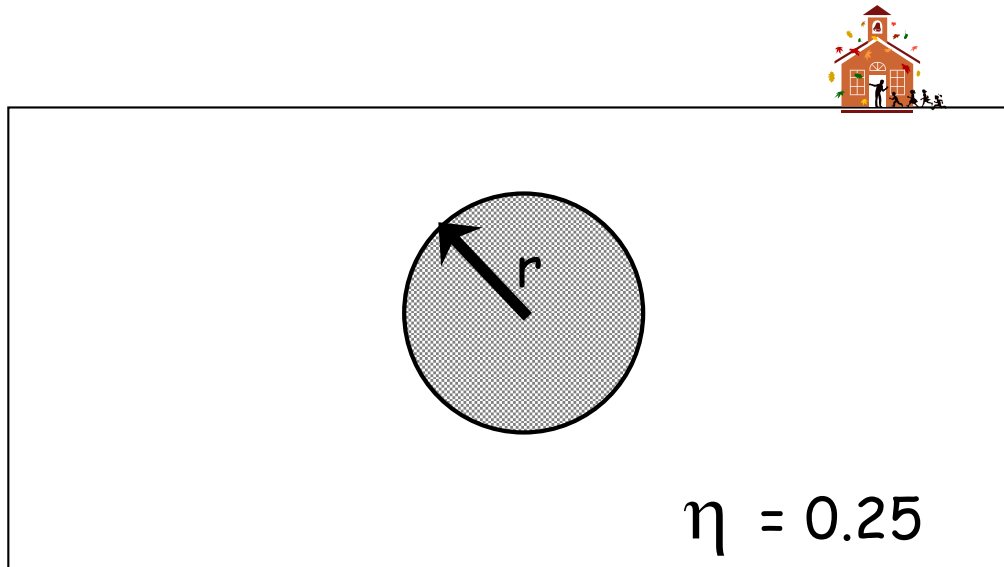


Sketch in plane P

$$\sigma(2\alpha) =$$

$$\tau(2\alpha) =$$

Rupture Patch Size for Earthquake Nucleation



$$K = \frac{\Delta\sigma}{\bar{u}} = \frac{7\pi G}{16 r}$$

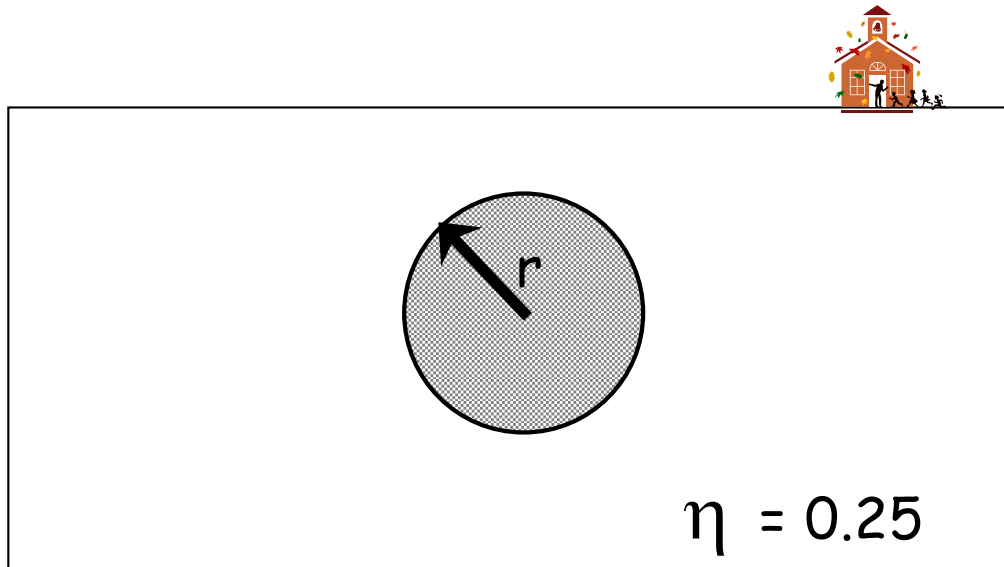
$$\Delta u(x, y) = \frac{24}{7\pi} \frac{\Delta\sigma}{\mu} \sqrt{c^2 - (x^2 + y^2)}$$

$$\Delta\sigma = \frac{7\pi}{24} G \frac{\Delta u_{max}}{r}$$

$$\Delta\sigma = \frac{7\pi}{16} G \frac{\Delta\bar{u}}{r}$$

$$h^* = r_c$$

Rupture Patch Size for Earthquake Nucleation



$$K = \frac{\Delta\sigma}{\bar{u}} = \frac{7\pi G}{16 r}$$

$$K_c \approx \frac{\sigma_n(b-a)}{D_c}$$

earthquake nucleation when

$$\frac{K}{K_c} \approx 1.0$$

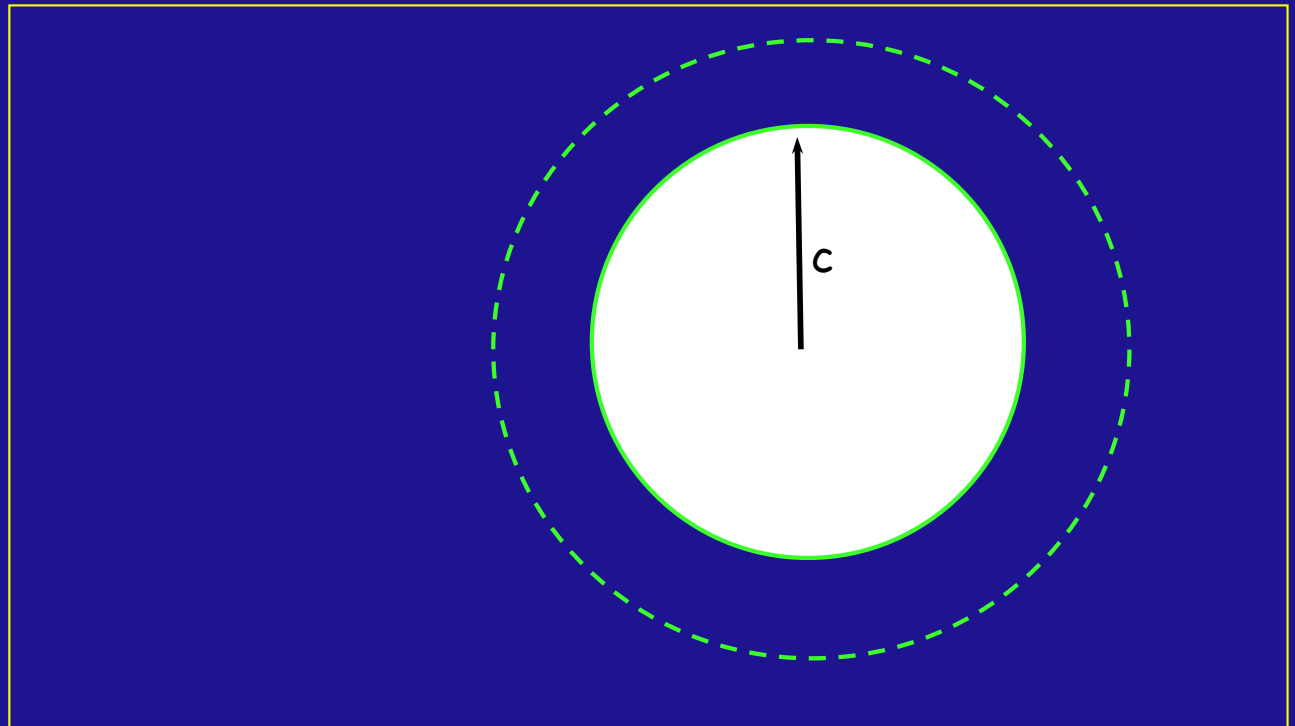
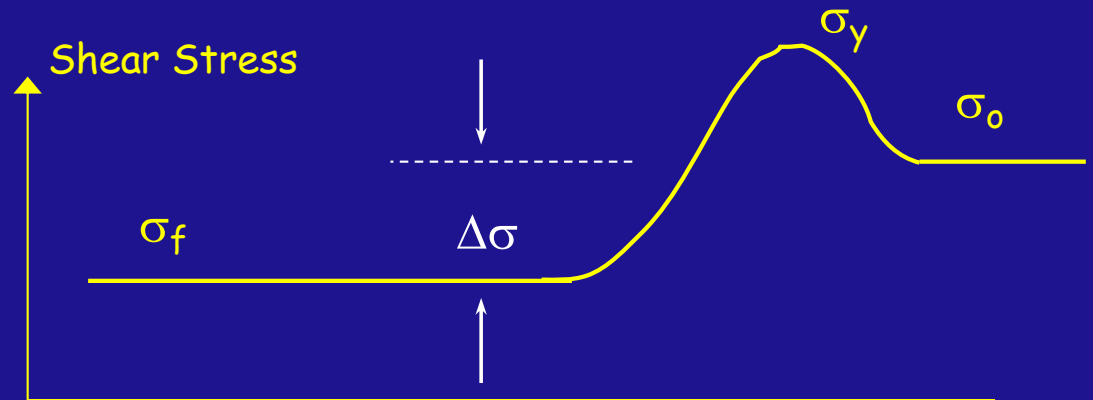
See also: Earthquake nucleation on rate and state faults
- Aging and slip laws, Ampuero & Rubin, JGR 2008

$$h^* = r_c \approx C \frac{GD_c}{\sigma_n(b-a)}$$

Dislocation model, circular crack

$$\Delta\sigma = (\sigma_o - \sigma_f)$$

$$\Delta u(x,y) = \frac{24}{7\pi} \frac{\Delta\sigma}{\mu} \sqrt{c^2 - (x^2 + y^2)}$$



Crack tip stress field, real materials

- Singular crack (Eshelby)

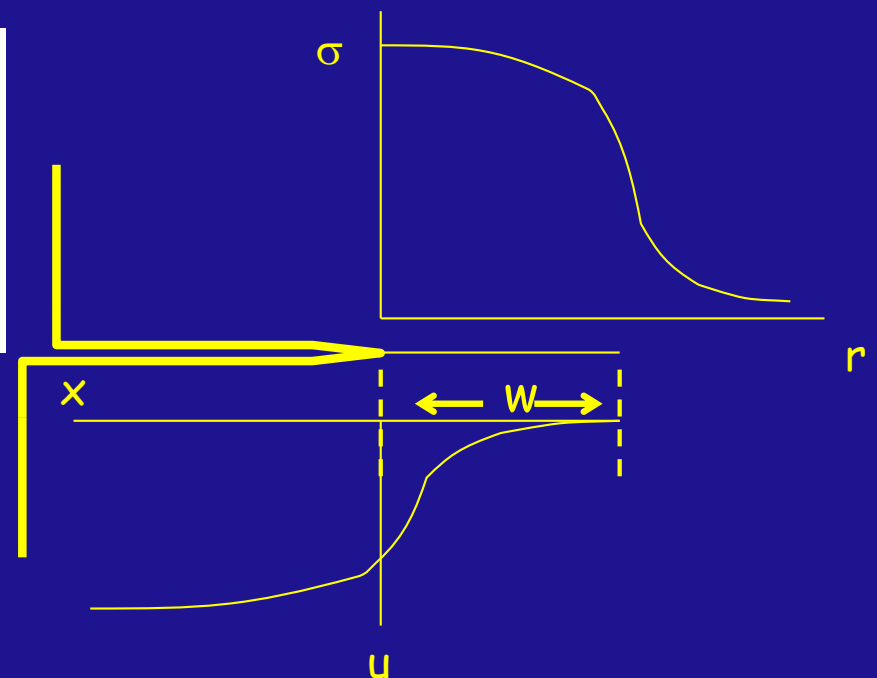
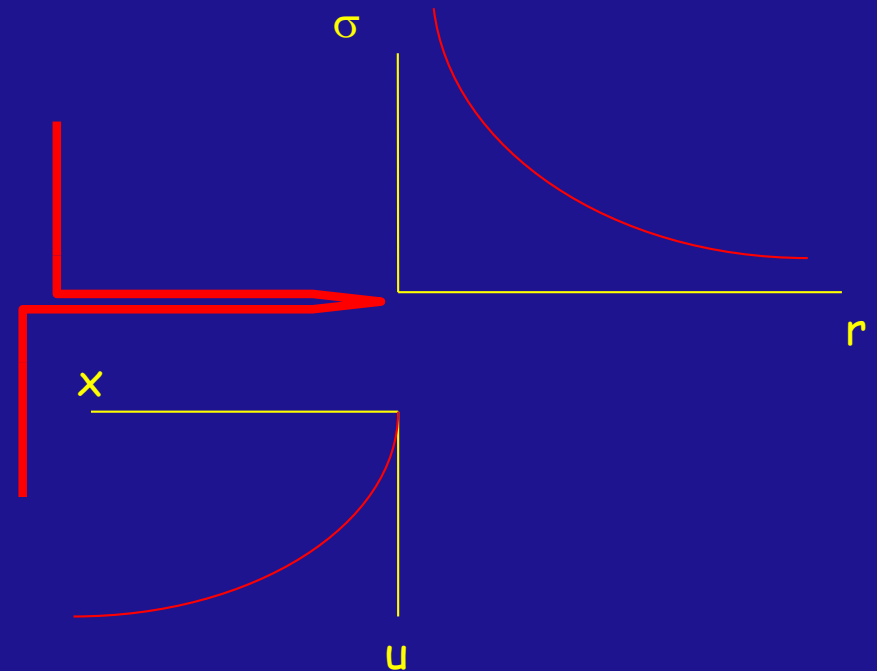
- Dugdale (Barenblatt)

• Assume a yield criterion, σ_y , within a crack tip region

$$\Delta u = \frac{(1-\eta)(\sigma_o - \sigma_f) c}{2\pi\mu} f(\theta, \theta_2), \text{ see 1.30}$$

$$\theta = \cos^{-1}\left(\frac{2x}{c}\right) \text{ for } |x| < \frac{c}{2} \text{ and } \theta_2 = \cos^{-1}\left(\frac{c-2s}{c}\right)$$

$s = W$



Crack tip stress field, real materials

- Singular crack (Eshelby)

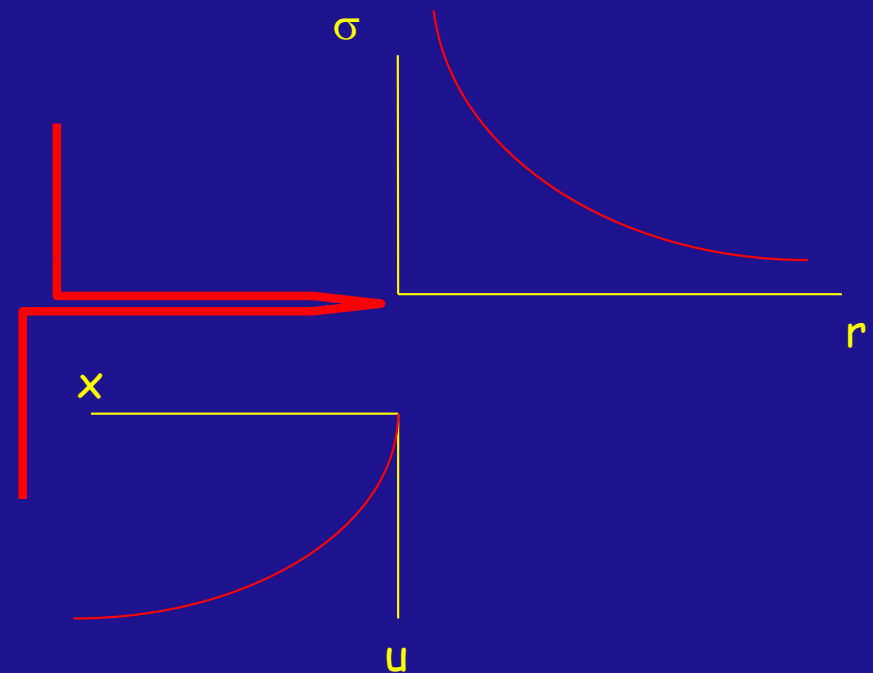
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- e.g., can we 'read' the state of stress in the crust from earthquake (fault) data



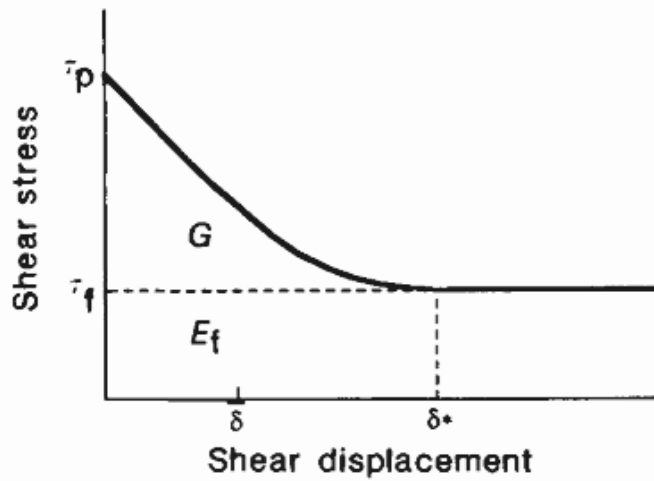
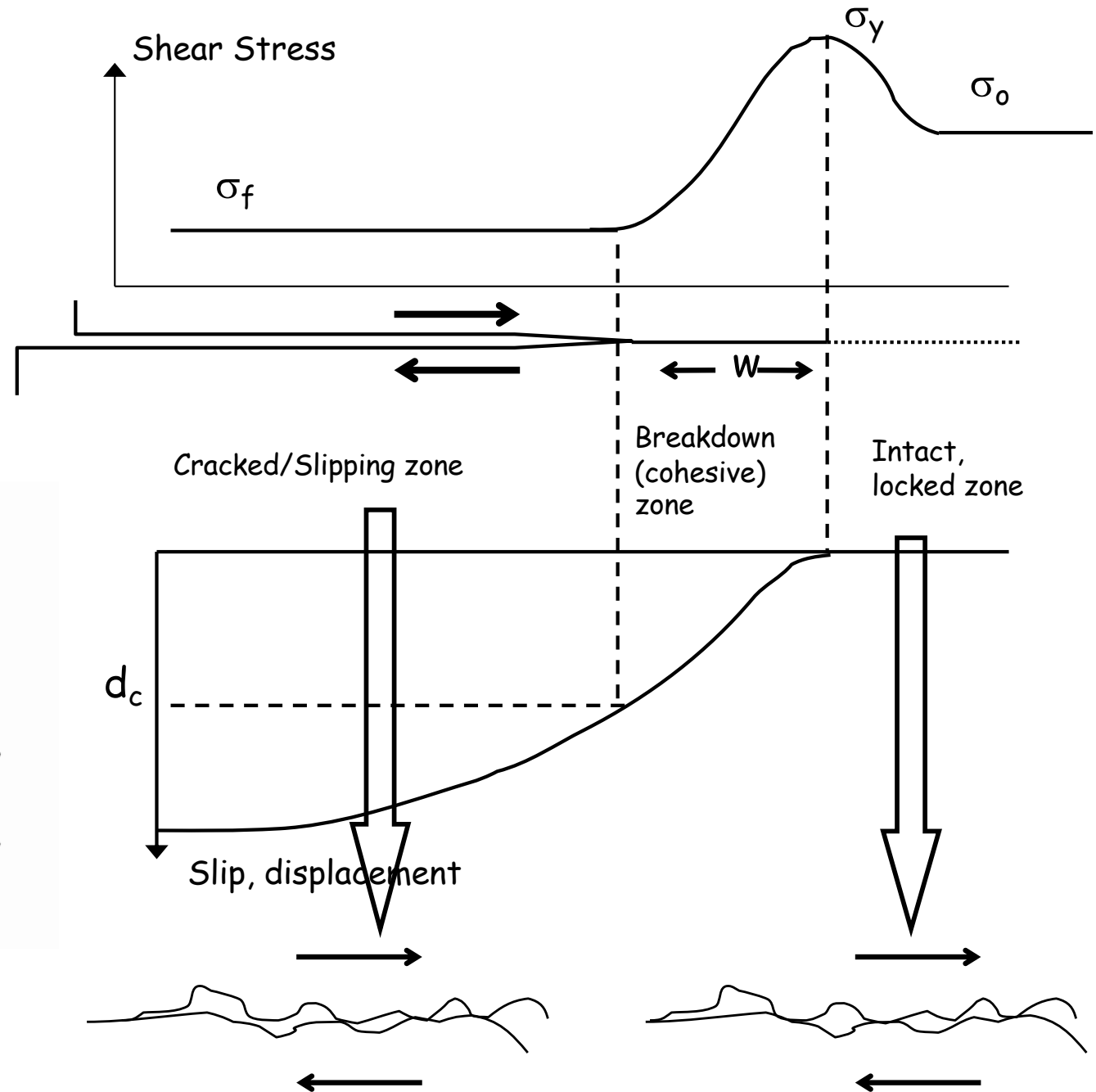
$s = w$

Barenblatt, G. I., 1959, The formation of brittle cracks during brittle fracture. General ideas and hypotheses. Axially-symmetric cracks. Appl. Math. Mech. 23, 1273 - 1282.

Barenblatt, G. (1962). The mathematical theory of equilibrium cracks in brittle fracture. Advances in Applied Mechanics, 7, 55-129.

Dugdale, D. (1960). Yielding of steel sheets containing slits. Journal of the Mechanics and Physics of Solids, 8, 100-104.

Cohesive zone, slip weakening crack model for friction

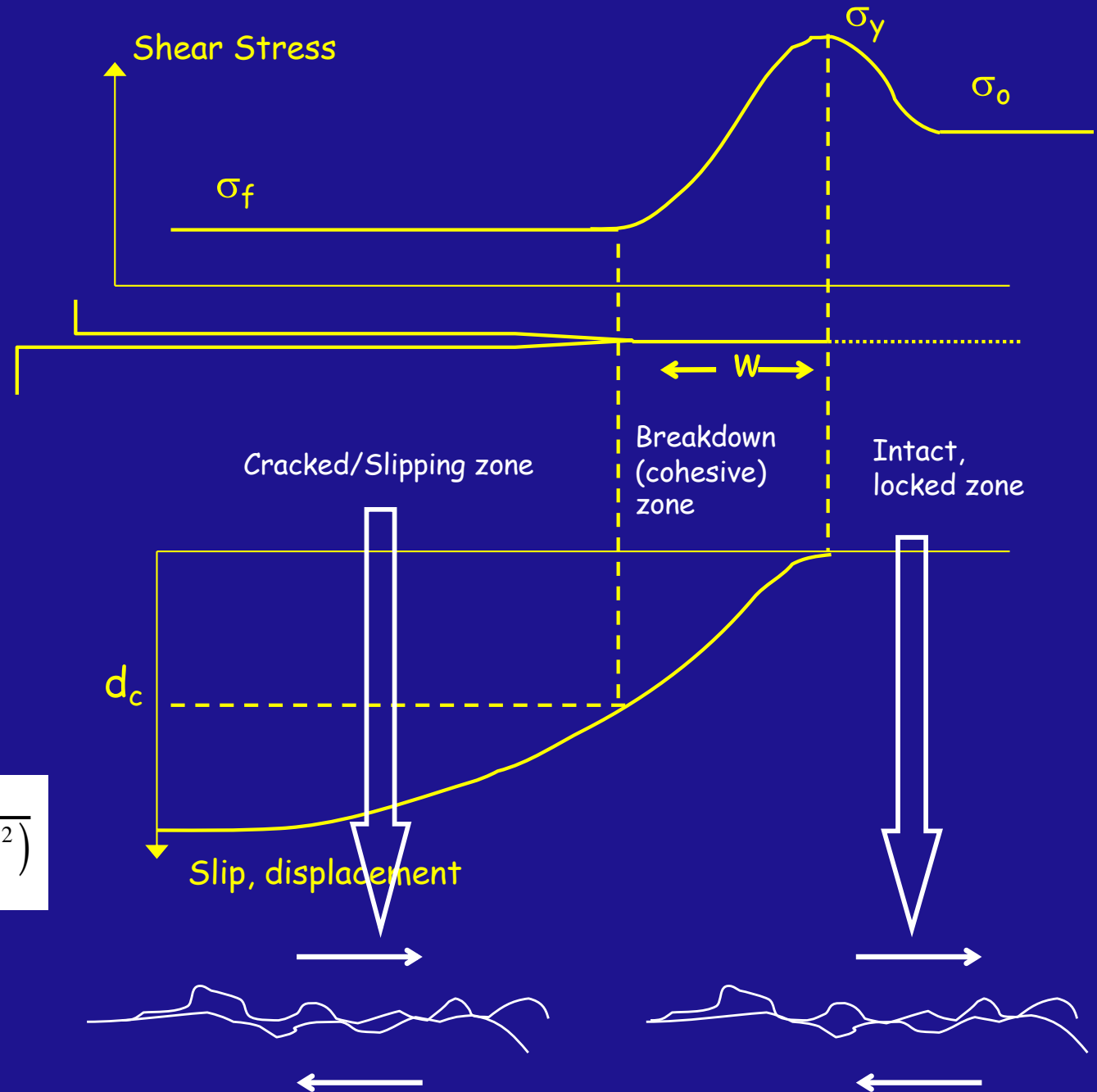


Cohesive zone crack model, applies to fracture and/or friction

- Dugdale (Barenblatt)

$$\Delta u = \frac{(1-\eta)(\sigma_o - \sigma_f) c}{2\pi\mu} f(\theta, \theta_2), \text{ see 1.30}$$

$$\theta = \cos^{-1}\left(\frac{2x}{c}\right) \text{ for } |x| < \frac{c}{2} \text{ and } \theta_2 = \cos^{-1}\left(\frac{c-2s}{c}\right)$$

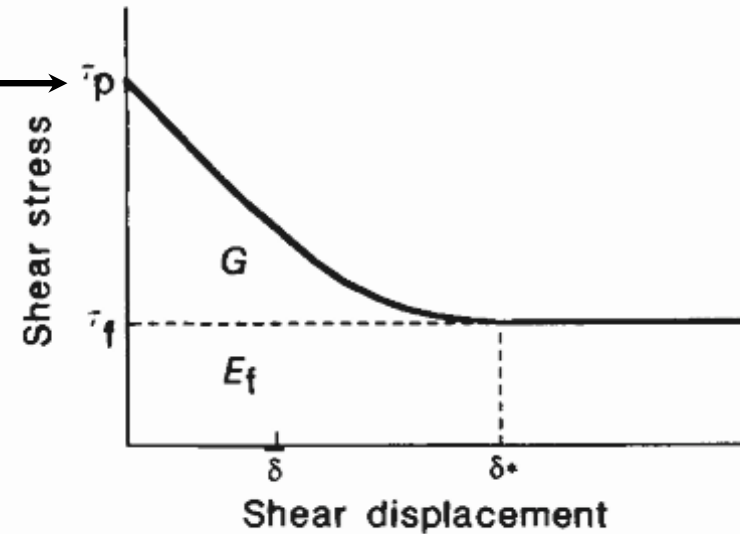
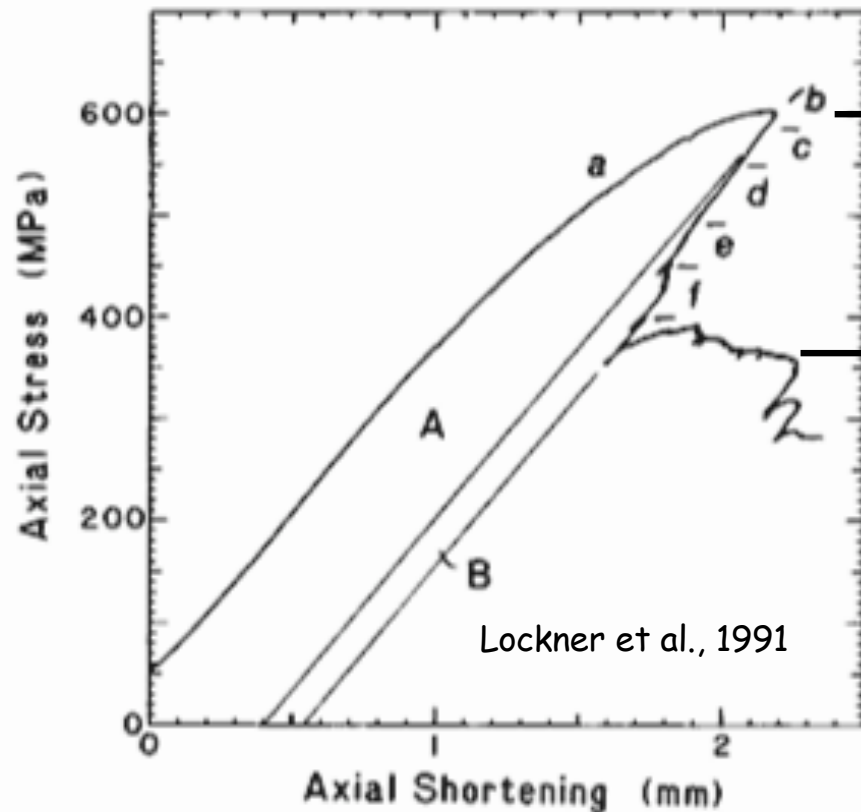


Dislocation model, circular crack

$$\Delta\sigma = (\sigma_o - \sigma_f)$$

$$\Delta u(x, y) = \frac{24}{7\pi} \frac{\Delta\sigma}{\mu} \sqrt{c^2 - (x^2 + y^2)}$$

Shear Fracture Energy from Postfailure Behavior



Inferred shear stress vs. slip relation for slip-weakening model. (based on Wong, 1982)

Wong, 1982, found that shear stress dropped ~ 0.2 GPa over a slip distance of ~ 50 microns.

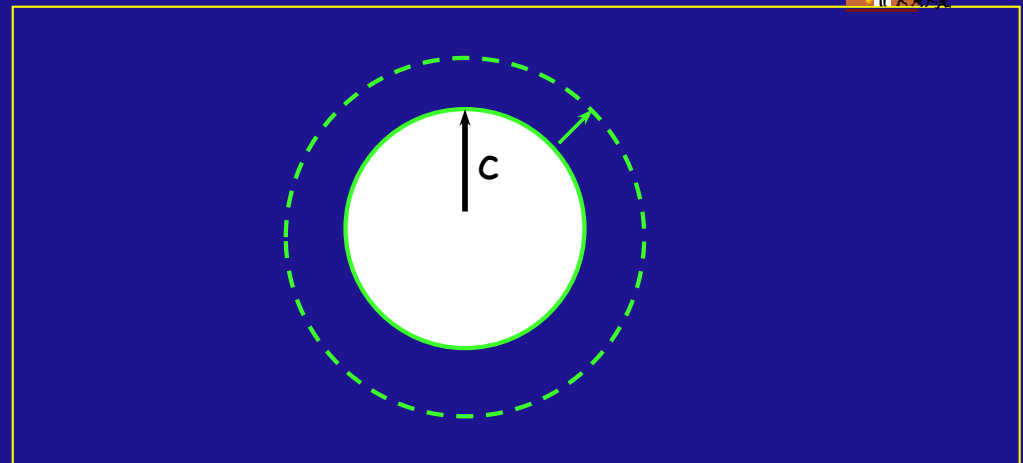
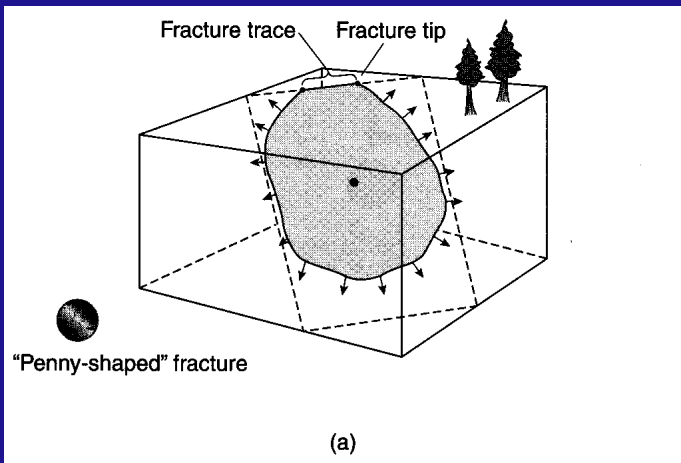
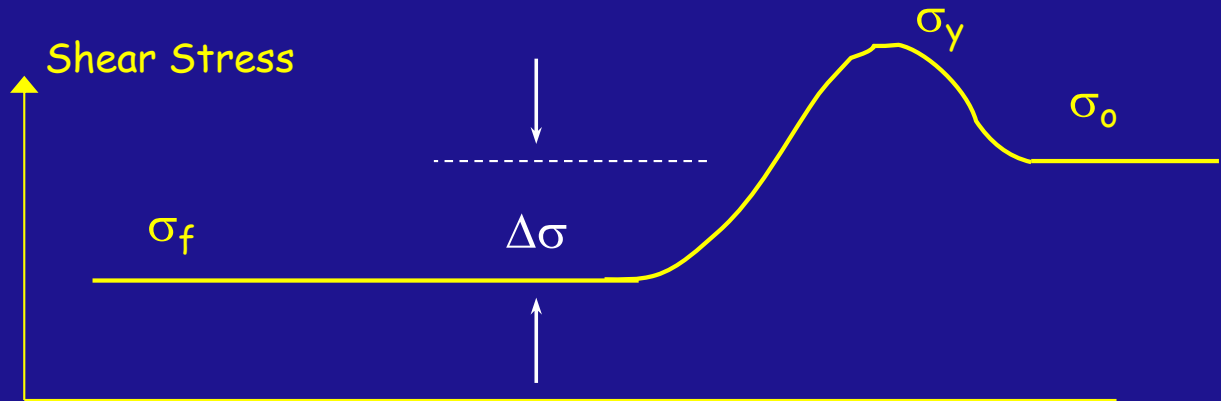
Exercise: Estimate G from this data and compare it to the values reported in Scholz (Table 1.1) and Wong, 1982.

Dislocation model for fracture and earthquake rupture

Dislocation model, circular crack

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$$\Delta\sigma = \frac{7\pi}{16} G \frac{\Delta\bar{u}}{r}$$

Relation between stress drop and slip for a circular dislocation (crack) with radius r
For $\eta=0.25$, Chinnery (1969)

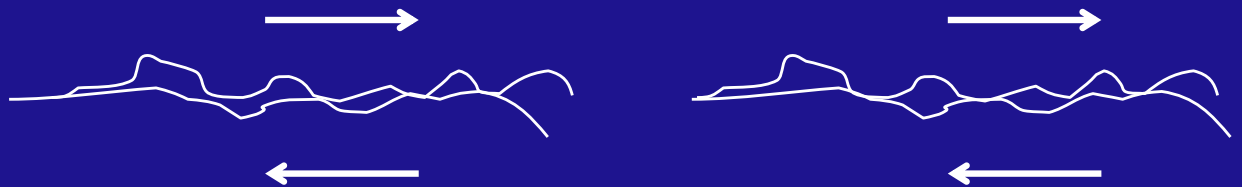
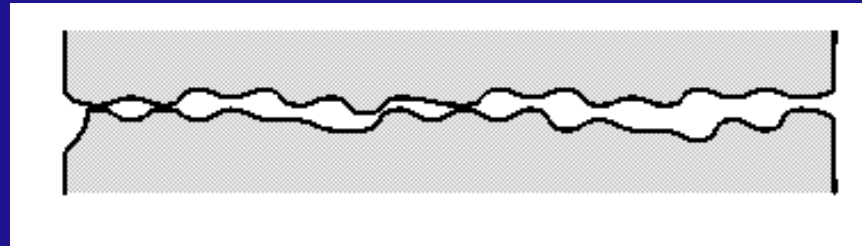
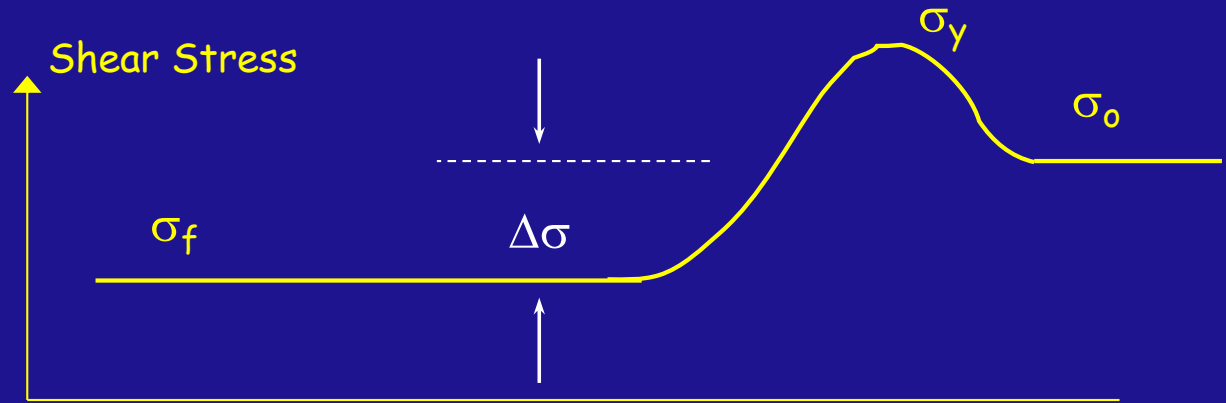
•Importance of slip: e.g., $M_o = \mu A u$

Dislocation model for fracture and earthquake rupture

Dislocation model, circular crack

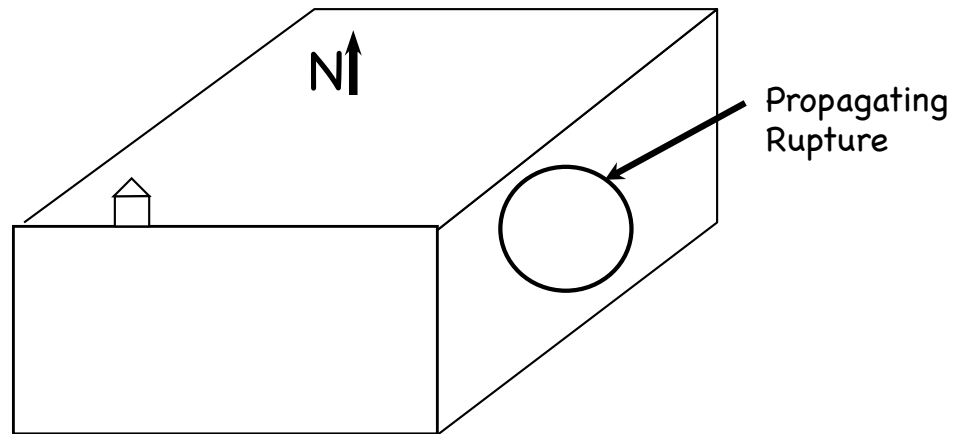
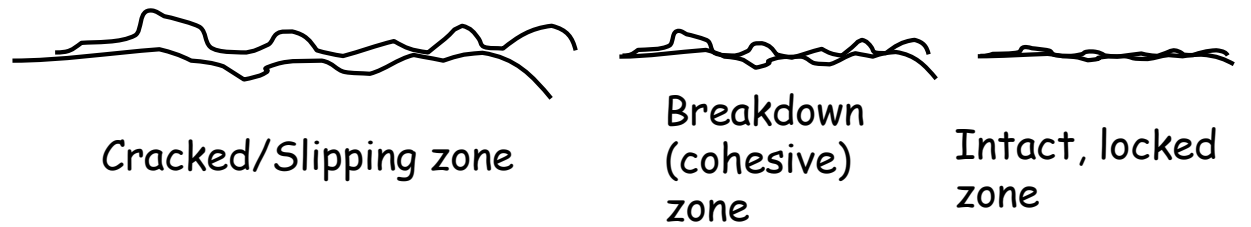
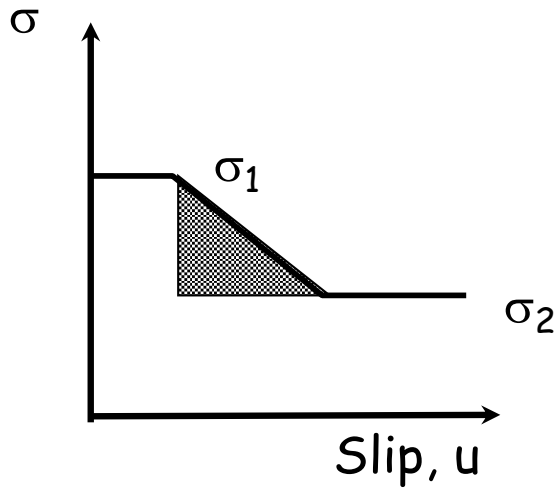
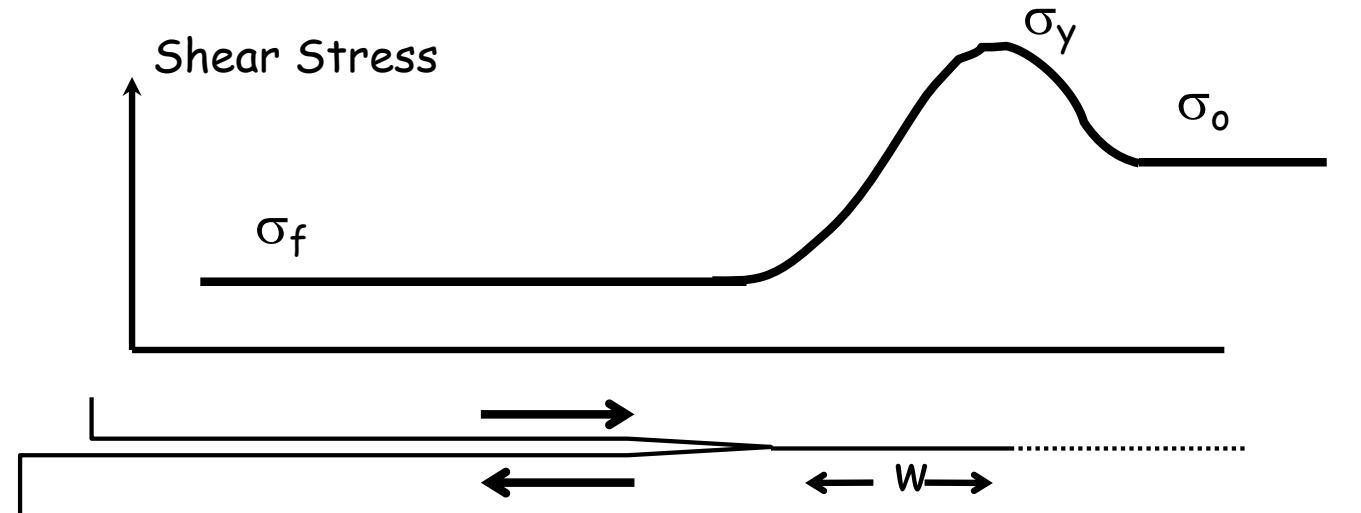
$$\Delta\sigma = (\sigma_o - \sigma_f)$$

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Mode II crack propagation at speed V_r \longrightarrow

cohesive zone/slip
weakening crack model
for friction



Mode II crack propagation at speed Vr \longrightarrow

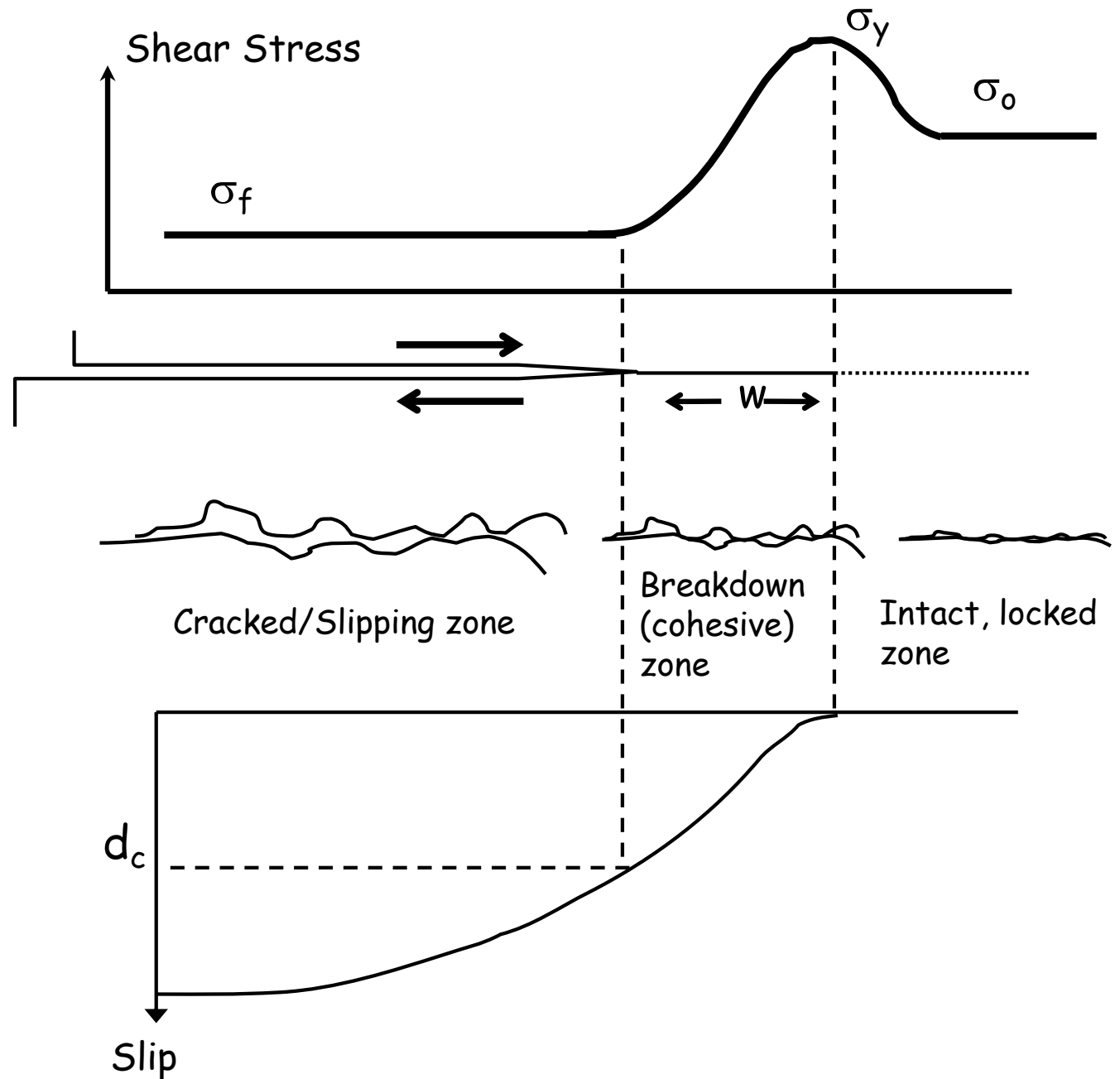
cohesive zone/slip
weakening crack model
for friction

f_{max} scales as:
 $f_{max} = w/Vr$

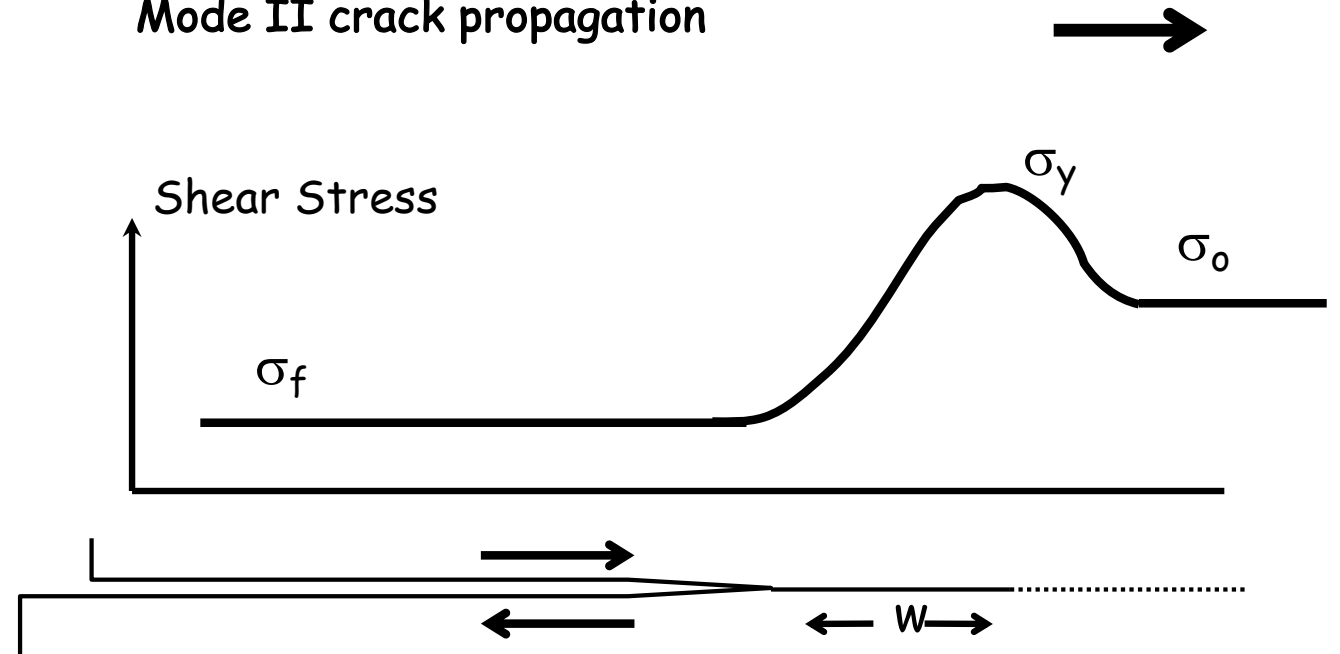
$$\Delta\sigma = \frac{7\pi}{24} G \frac{u_{max}}{r}$$

$$\Delta\sigma = \frac{7\pi}{24} G \frac{D_c}{w}$$

$$D_c = \frac{24}{7\pi} \frac{\Delta\sigma}{G} w$$



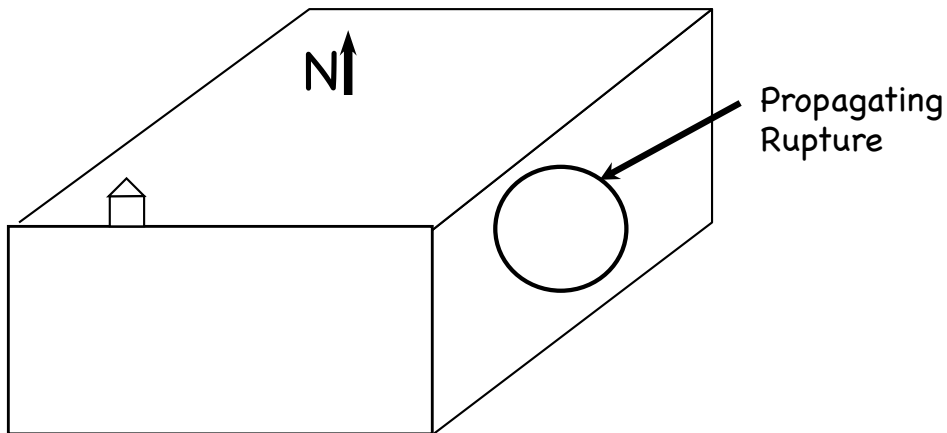
Mode II crack propagation



Cohesive zone length W scales as:

$$w \approx C \frac{D_c G}{\Delta \sigma}$$

$$\frac{w}{D_c} \approx C \frac{G}{\Delta \sigma}$$



Slip weakening model (Ida, 1972, 1973)

$$R_c = \frac{CGD_c}{\sigma_n(b-a)} \text{ or } L_c = \frac{E D_c}{2(1-\nu^2)\sigma_n \Delta\mu}$$

Recall the derivation of this result:

Frictional Instability

Requires $K < K_c$

$$K_c = \frac{\sigma_n(b-a)}{D_c}$$

$$\Delta\sigma = \frac{7\pi}{24} G \frac{u_{max}}{r}$$

Relation between stress drop and slip for a circular dislocation (crack) with radius r
For $\nu = 0.25$, Chinnery (1969)

$$\Delta\sigma = \frac{7\pi}{24} G \frac{D_c}{w}$$

$$D_c = \frac{24}{7\pi} \frac{\Delta\sigma}{G} w$$

The spectrum of fault slip behaviors

- Ordinary earthquakes

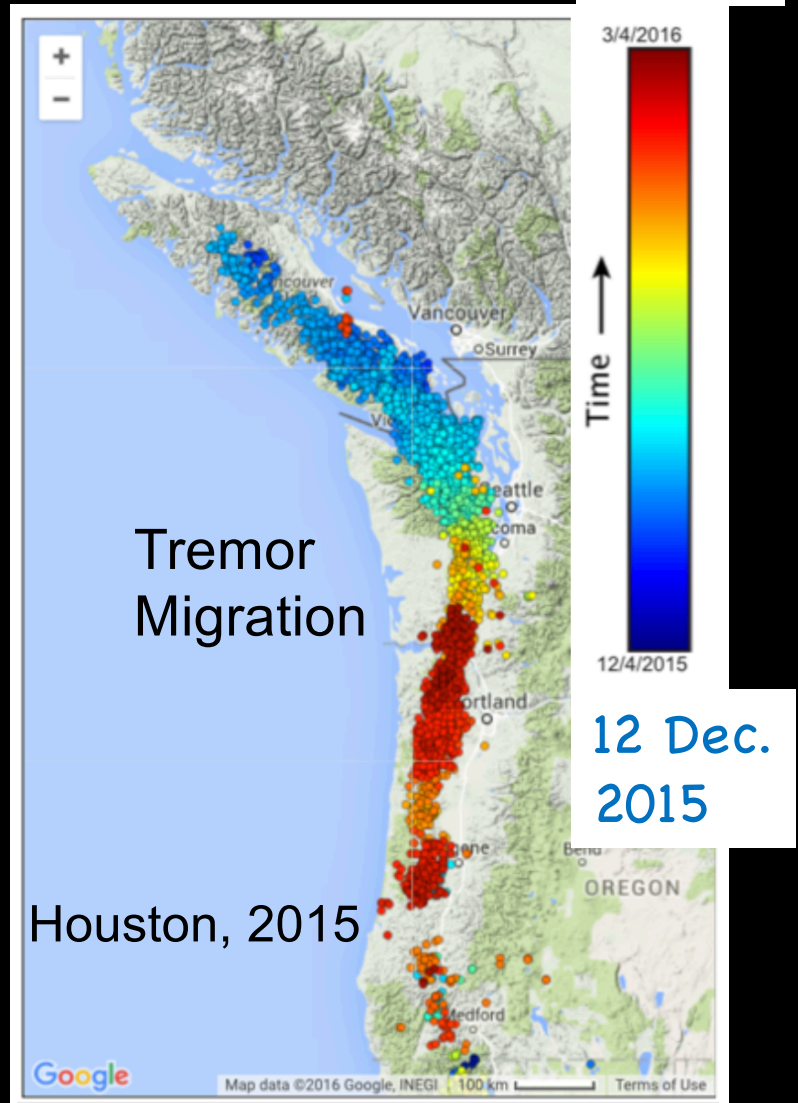
- Tsunamigenic earthquakes
- Tectonic Tremor
- Episodic tremor and slip (ETS)
- Low frequency earthquakes
- Very low frequency earthquakes
- Long term slow slip events
- Slow precursors

Slow

Earthquakes

- Aseismic slip

4 Mar.
2016



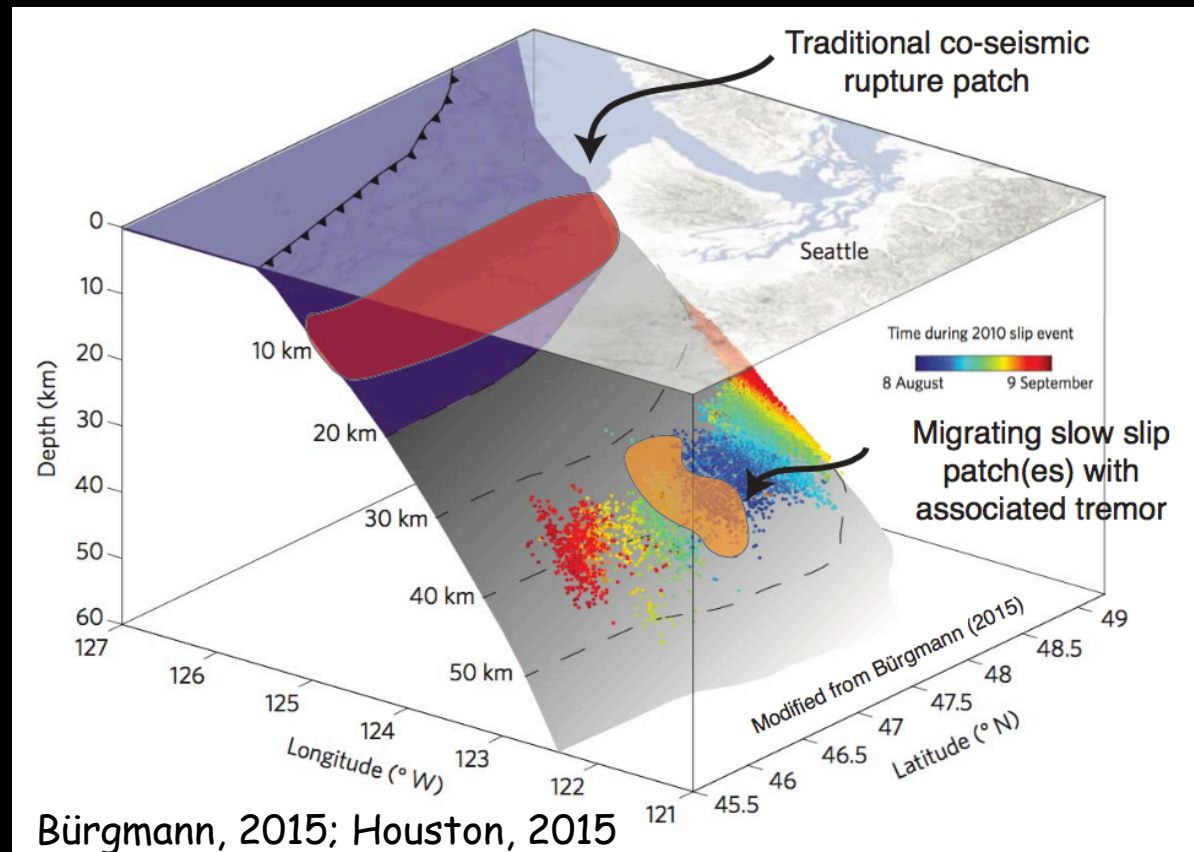
Slow Earthquakes are self-propagating ruptures

Slip on the fault patch elevates the crack-tip stresses to the levels necessary for continued fracture

Slow Earthquakes

V_r is a few km/day

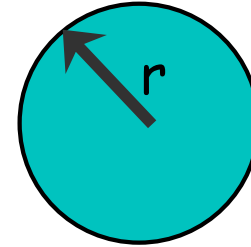
One Month in 2010



Ordinary Earthquakes



Seismic waves are created by rapid acceleration at the rupture front



$$\eta = 0.25$$

Ordinary (fast)
Earthquakes

V_r is a few km/s



Images from the aftermath of the Anchorage earthquake

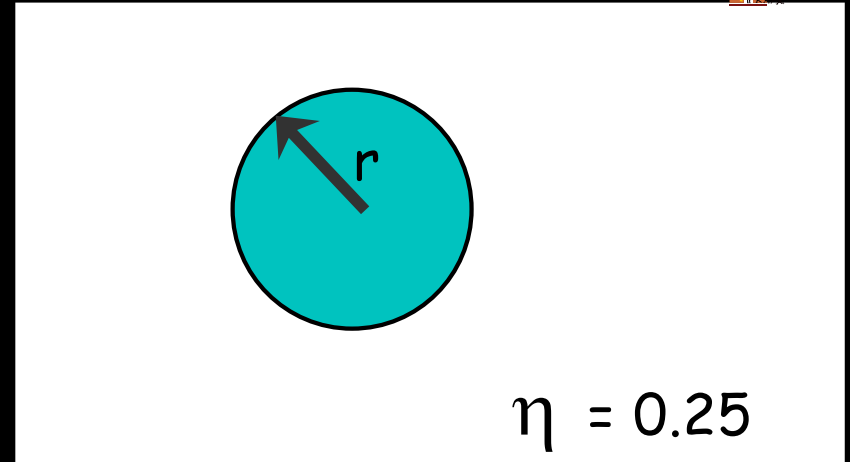
M7.1 2018 Anchorage Earthquake

Slow Earthquakes are also self-propagating ruptures



They don't radiate elastic energy

But they obey Fracture Mechanics



Slip on the fault patch elevates the crack-tip stresses to the levels necessary for continued fracture
-the energy release rate equals the fracture energy

Slow Earthquakes

V_r is a few km/day

Slow Earthquakes and the spectrum of fault slip behavior

Nature Vol. 275 19 October 1978

599

articles

Slow earthquakes and stress redistribution

I. Selwyn Sacks

Carnegie Institution of Washington, Department of Terrestrial Magnetism, Washington, D.C. 20015

Shigeji Suyehiro

Seismological Division, Japan Meteorological Agency, Tokyo, Japan

Alan T. Linde

Carnegie Institution of Washington, Department of Terrestrial Magnetism, Washington D.C. 20015

J. Arthur Snoke

Department of Geological Sciences, Virginia Polytechnic Institute and State University, Blacksburg, Virginia 24061

Strainmeters with high sensitivity over long periods have enabled the detection and identification of slow earthquakes: seismic events which produce records similar to those from normal earthquakes except that the time scale for the rupture process is considerably longer. Slow earthquakes provide a mechanism for stress redistribution before normal earthquakes. Stress concentration may take place just hours or days before an earthquake; if it did, this would affect prediction capability.

all respects except for slower rupture velocities and longer rise times. Here we describe slow earthquakes which occur separately from normal earthquakes and which were observed on the recently installed borehole strainmeters or on nearby extensometers. Other kinds of data are also included which indicate that the stress buildup before an earthquake may be non-linear in time. In these cases the concentrations of stress seem to occur in a much shorter time preceding the earthquake than that calculated on the basis of magnitude-precursor-time formulae⁶.

Strainmeter waveforms for normal and slow earthquakes

Sacks et al., 1978

Beroza and Jordan, 1990

JOURNAL OF GEOPHYSICAL RESEARCH, VOL. 95, NO. B3, PAGES 2485-2510, MARCH 10, 1990

Searching for Slow and Silent Earthquakes Using Free Oscillations

GREGORY C. BEROZA AND THOMAS H. JORDAN

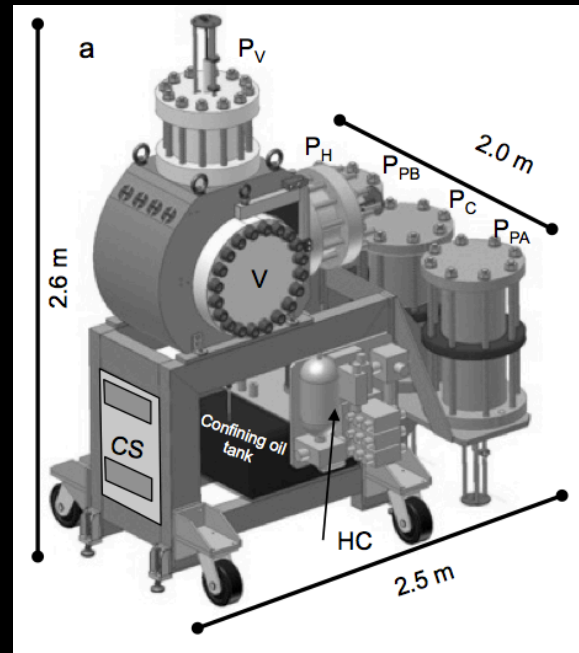
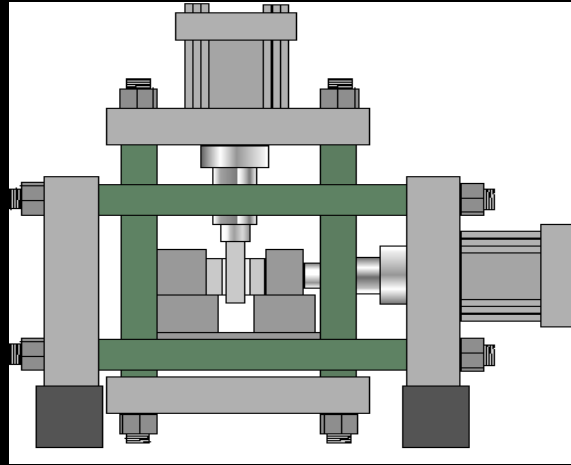
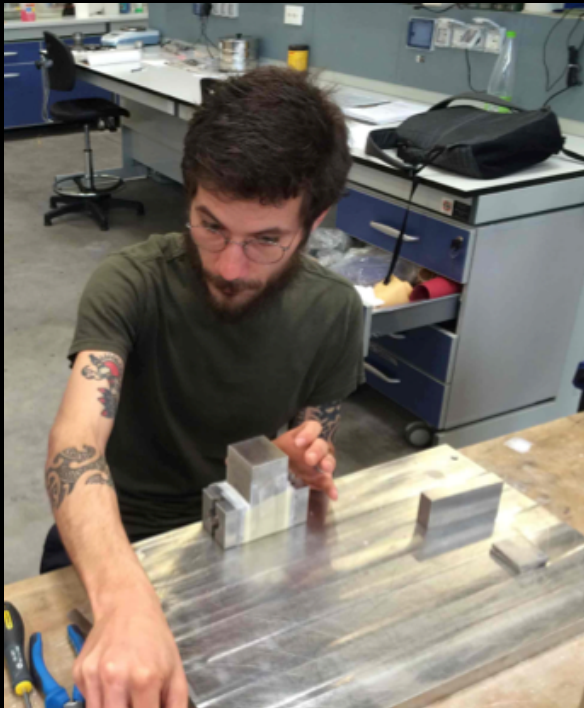
*Department of Earth, Atmospheric and Planetary Sciences
Massachusetts Institute of Technology, Cambridge*

1. Slow earthquakes could represent quasi-dynamic frictional instability (positive feedback, self-driven instability)
2. Recent lab work shows repetitive stick-slip instability for the complete spectrum of slip behaviors – A new opportunity to investigate the mechanics of slow slip
3. Mechanisms: *Why are they slow?*
 - Rate dependence of the critical rheologic stiffness K_c
 - Complex behavior near the stability boundary

PennState

SAPIENZA
UNIVERSITÀ DI ROMA

Marco Scuderi



John Leeman



ARTICLE

Received 4 Nov 2015 | Accepted 19 Feb 2016 | Published 31 Mar 2016

DOI: 10.1038/ncomms11104 OPEN

Laboratory observations of slow earthquakes and the spectrum of tectonic fault slip modes

J.R. Leeman¹, D.M. Saffer¹, M.M. Scuderi^{1,2} & C. Marone¹

Nature Communications

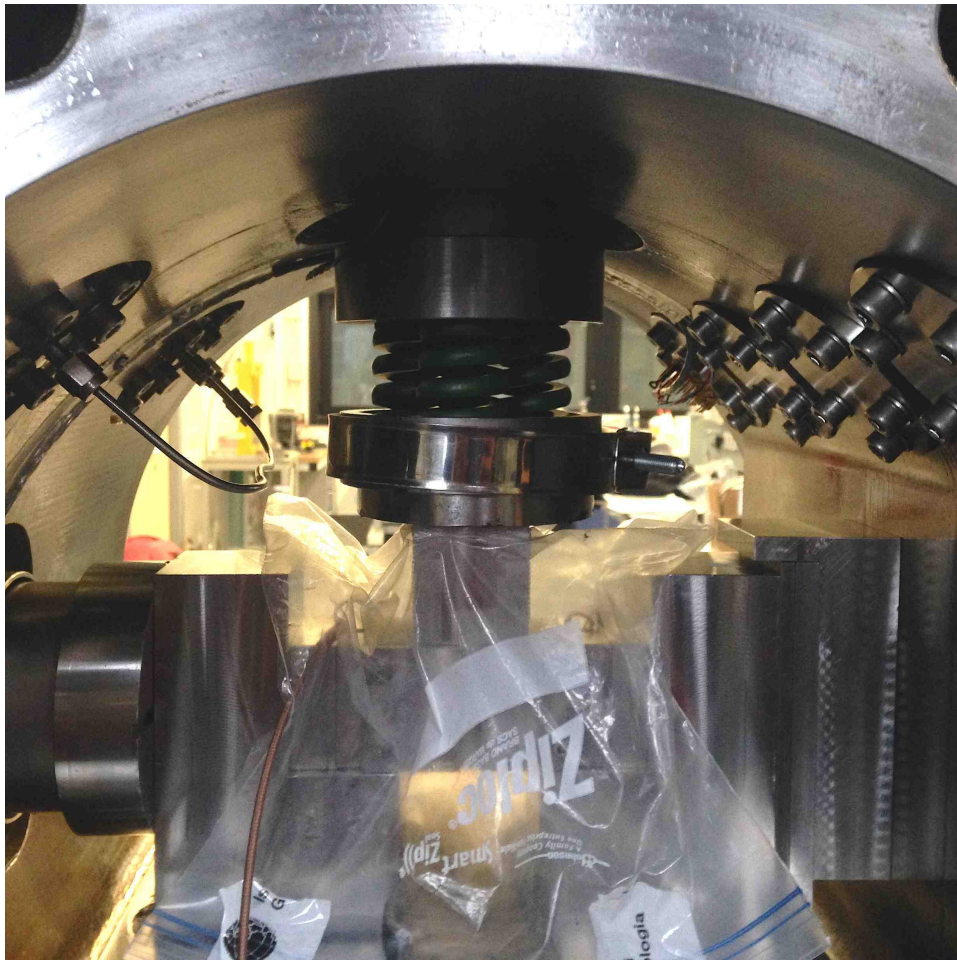
nature
geoscience

LETTERS

PUBLISHED ONLINE: 8 AUGUST 2016 | DOI: 10.1038/NGEO2775

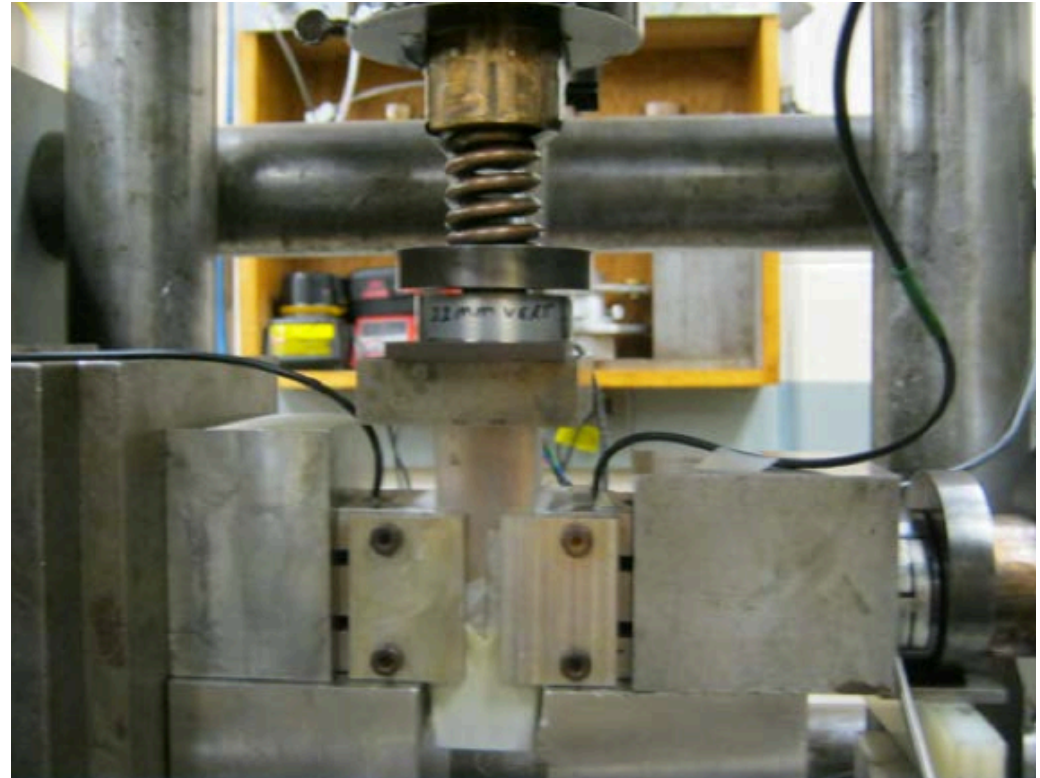
Precursory changes in seismic velocity for the spectrum of earthquake failure modes

M. M. Scuderi^{1,2*}, C. Marone³, E. Tinti², G. Di Stefano² and C. Collettini^{1,2}

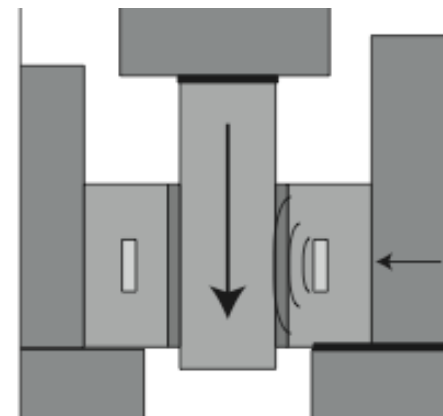


BRAVA at INGV (Rome)
Collettini Lab

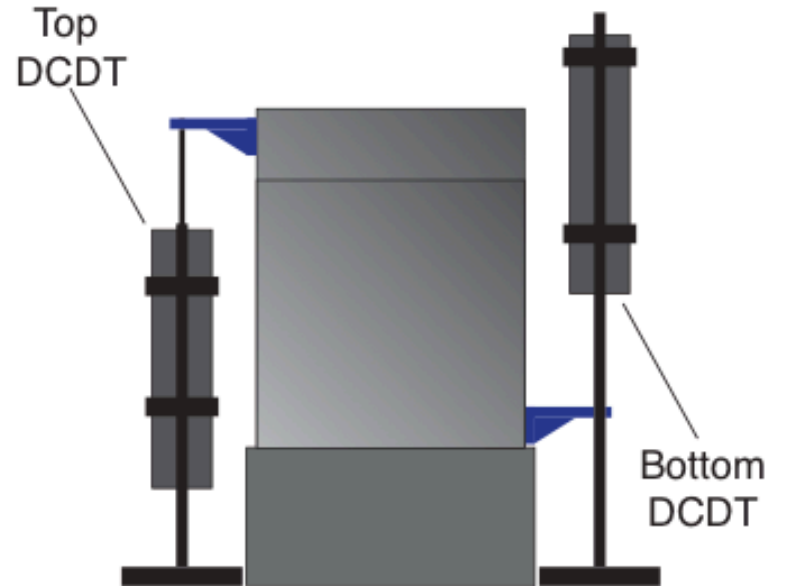
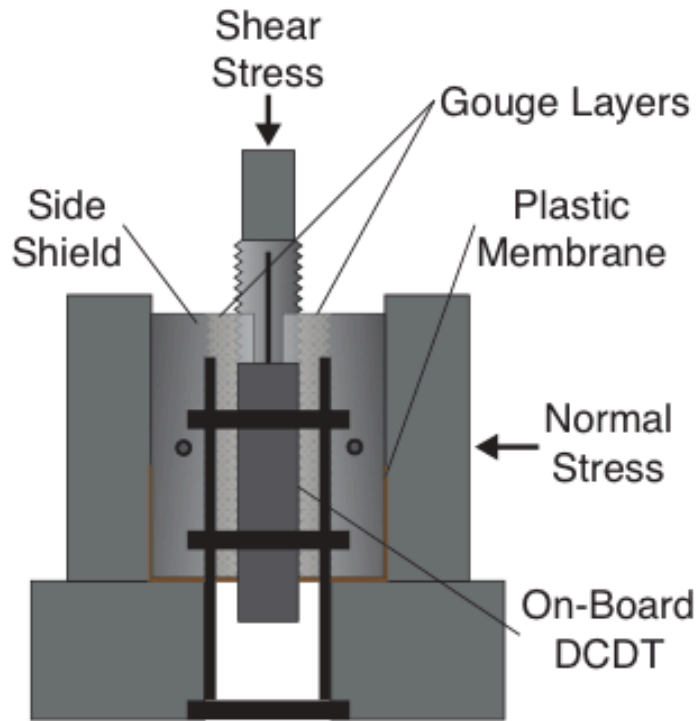
Double direct shear with biaxial loading
and controlled loading stiffness



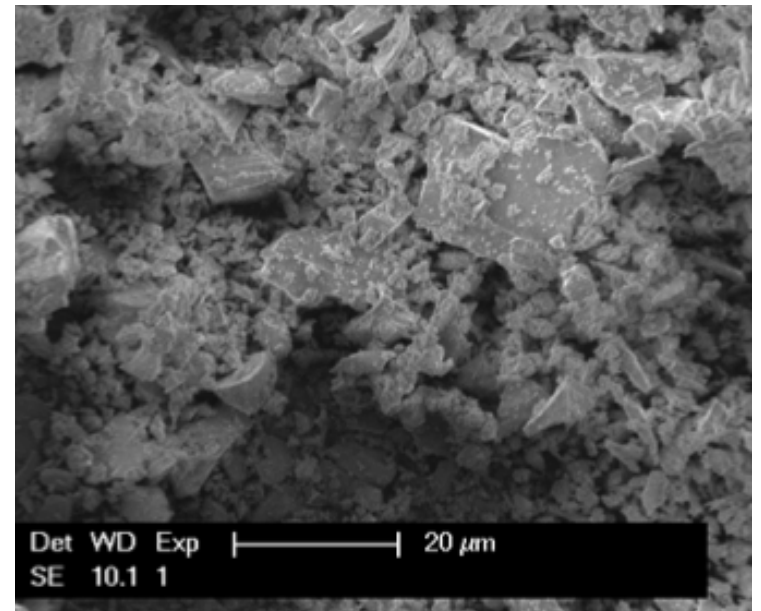
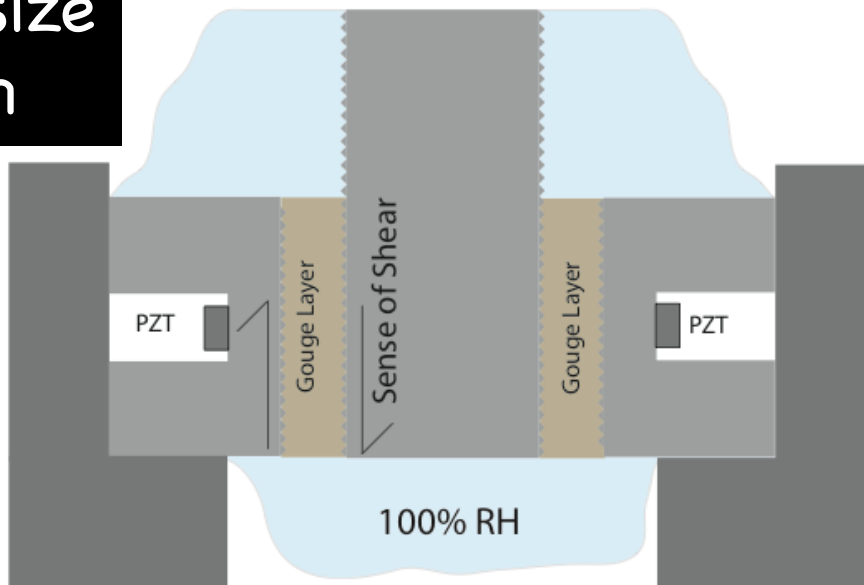
Biax at Penn State



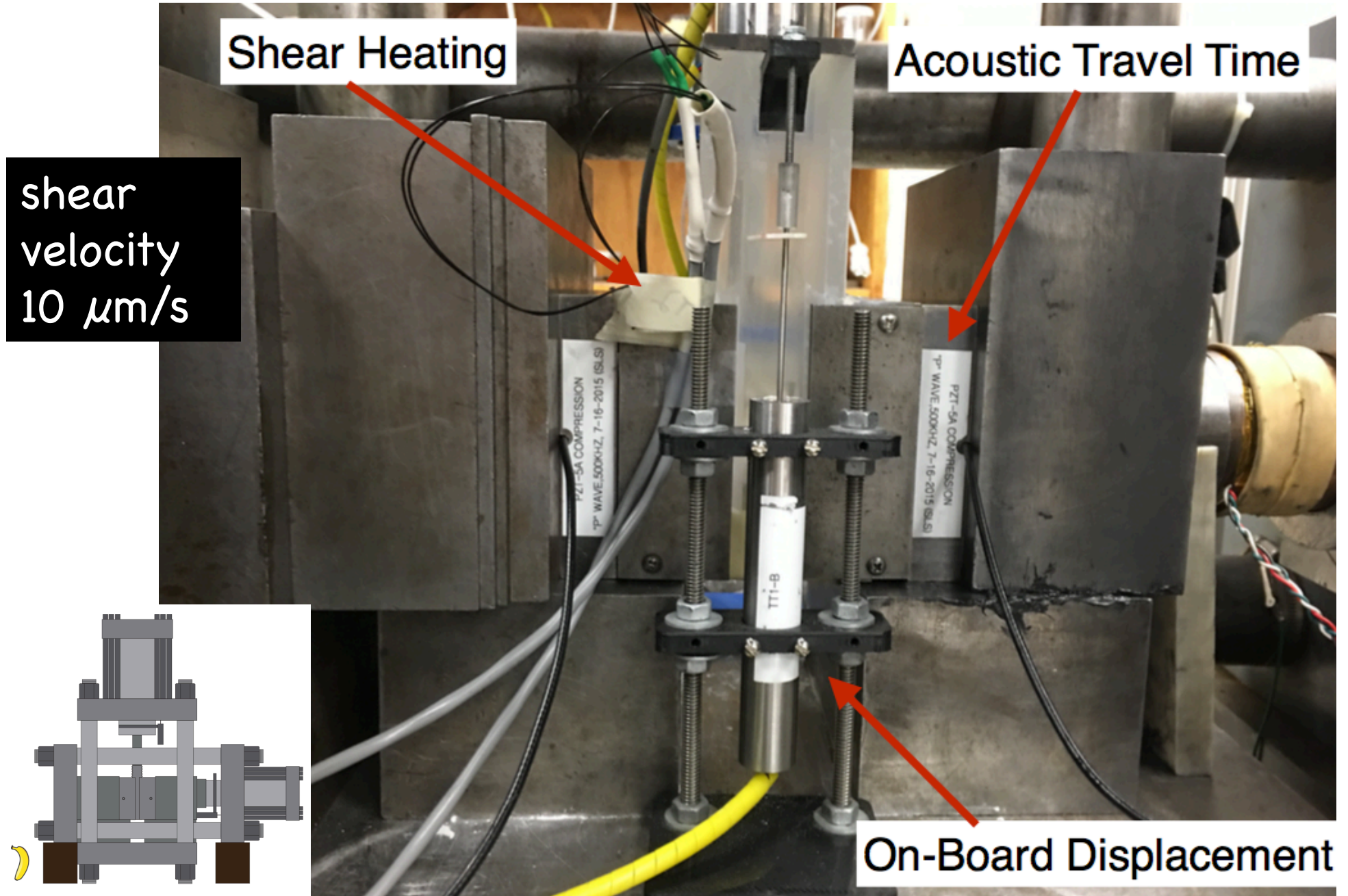
High-resolution, direct measurements of shear displacement, shear strain, normal strain, stresses



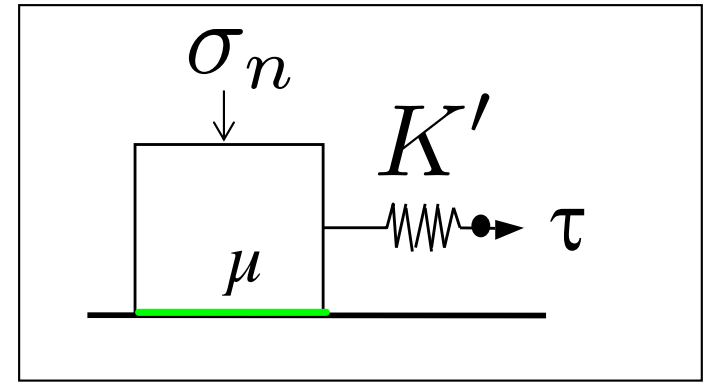
- Quartz powder
- grain size $< 10\mu\text{m}$



Biaxial testing machine at Penn State



To get slow slip we modify the elastic loading stiffness and take advantage of natural variations in the frictional properties as a function of shear

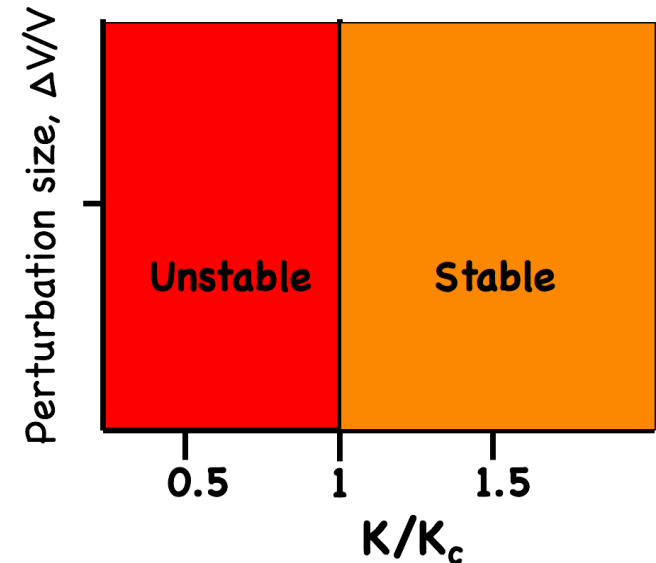


How do we produce slow slip?

Rate and State Friction

$$\frac{\tau(\theta, v)}{\sigma_n} = \mu_o + a \ln\left(\frac{v}{v_o}\right) + b \ln\left(\frac{v_o \theta}{D_c}\right)$$

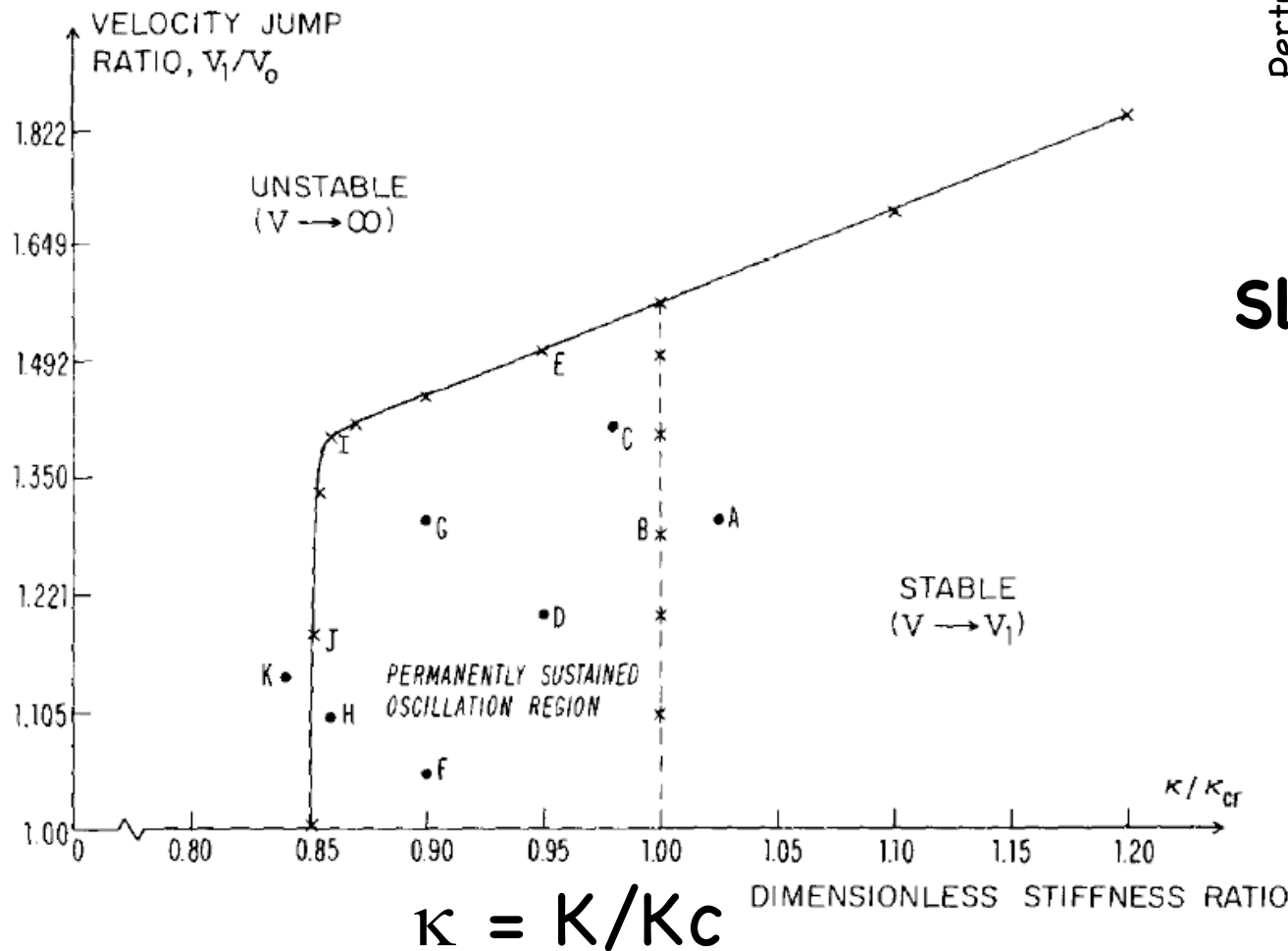
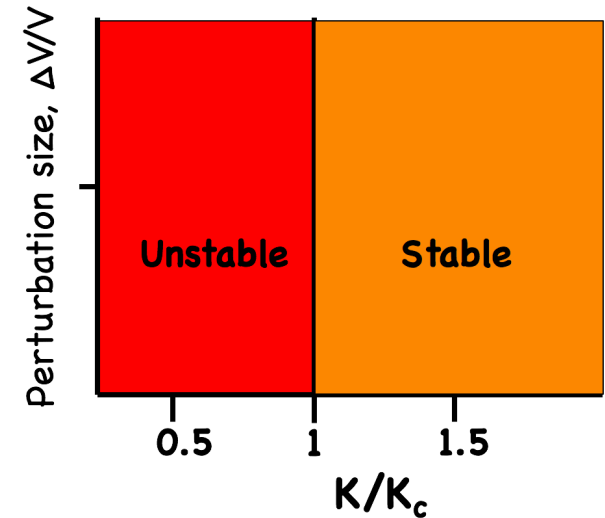
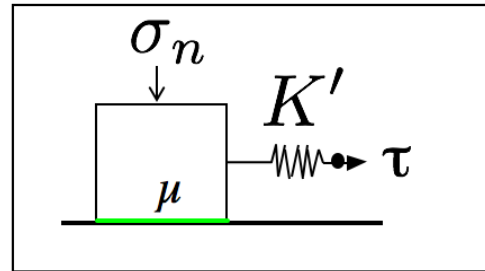
$$\frac{d\theta}{dt} = -\frac{V\theta}{D_c} \ln\left(\frac{V\theta}{D_c}\right)$$



$$K_c = \frac{\sigma_n(b-a)}{D_c} \left[1 + \frac{mV_o^2}{\sigma_n a D_c} \right]$$

Dieterich, 1979; Ruina, 1983; Rice & Ruina, 1983; Gu et al., 1984

Stability transition from stable to unstable sliding.

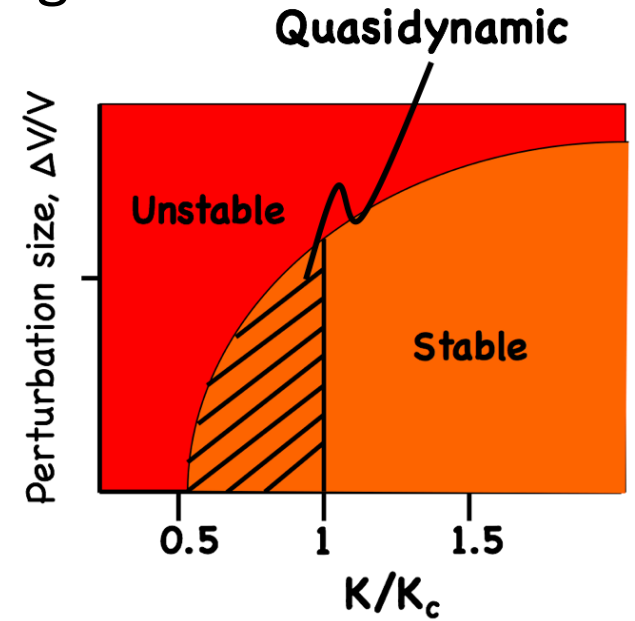
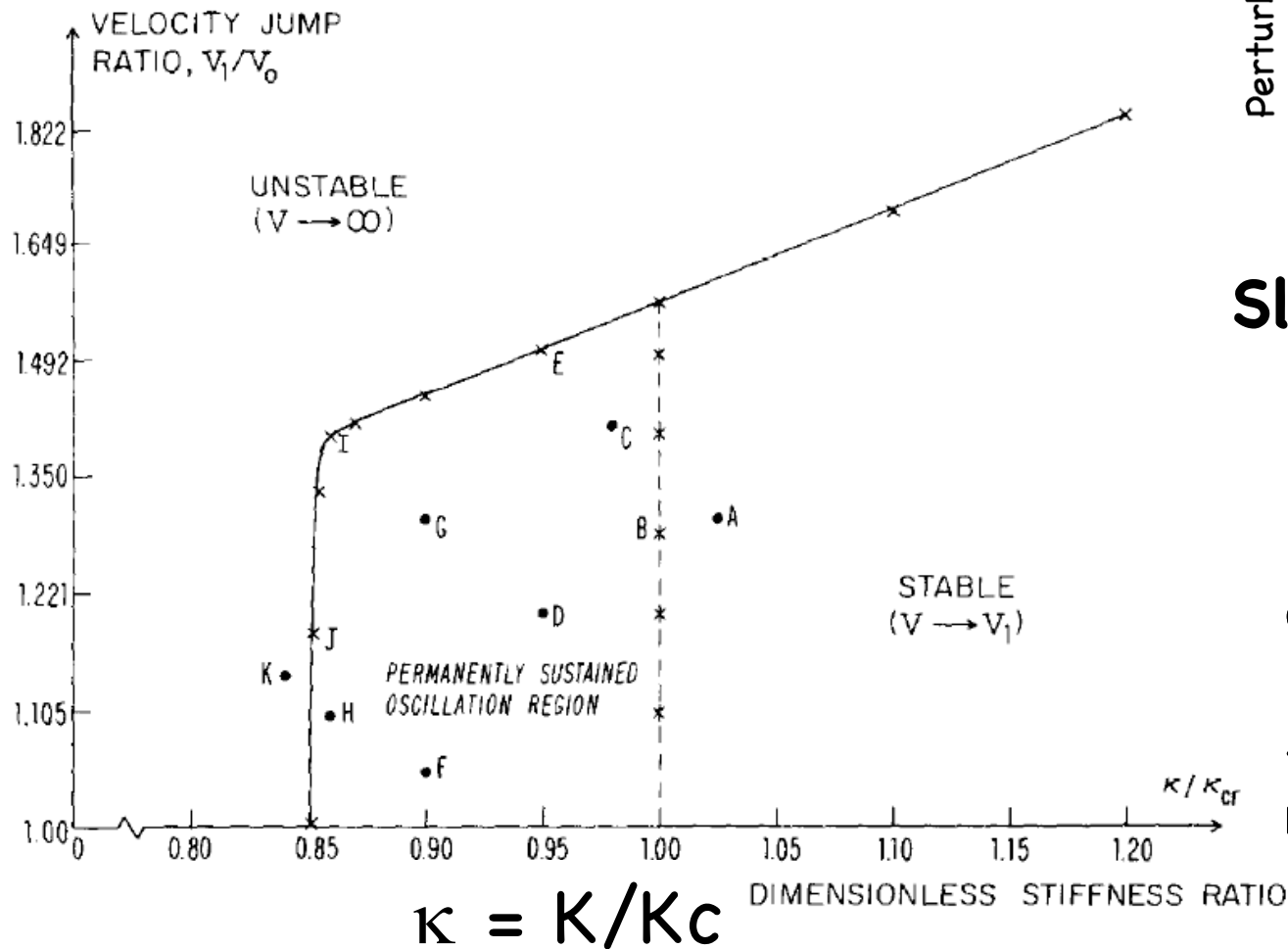
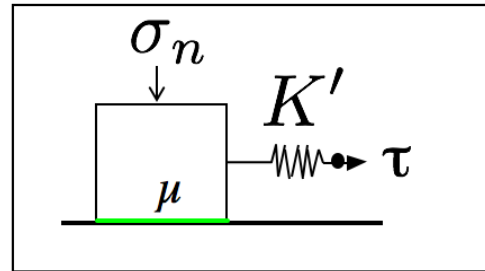


Slip is unstable if

$$K < K_c$$

Complex behavior near the stability boundary, --but not for 1 sv rsf model

Stability transition from stable to unstable sliding.

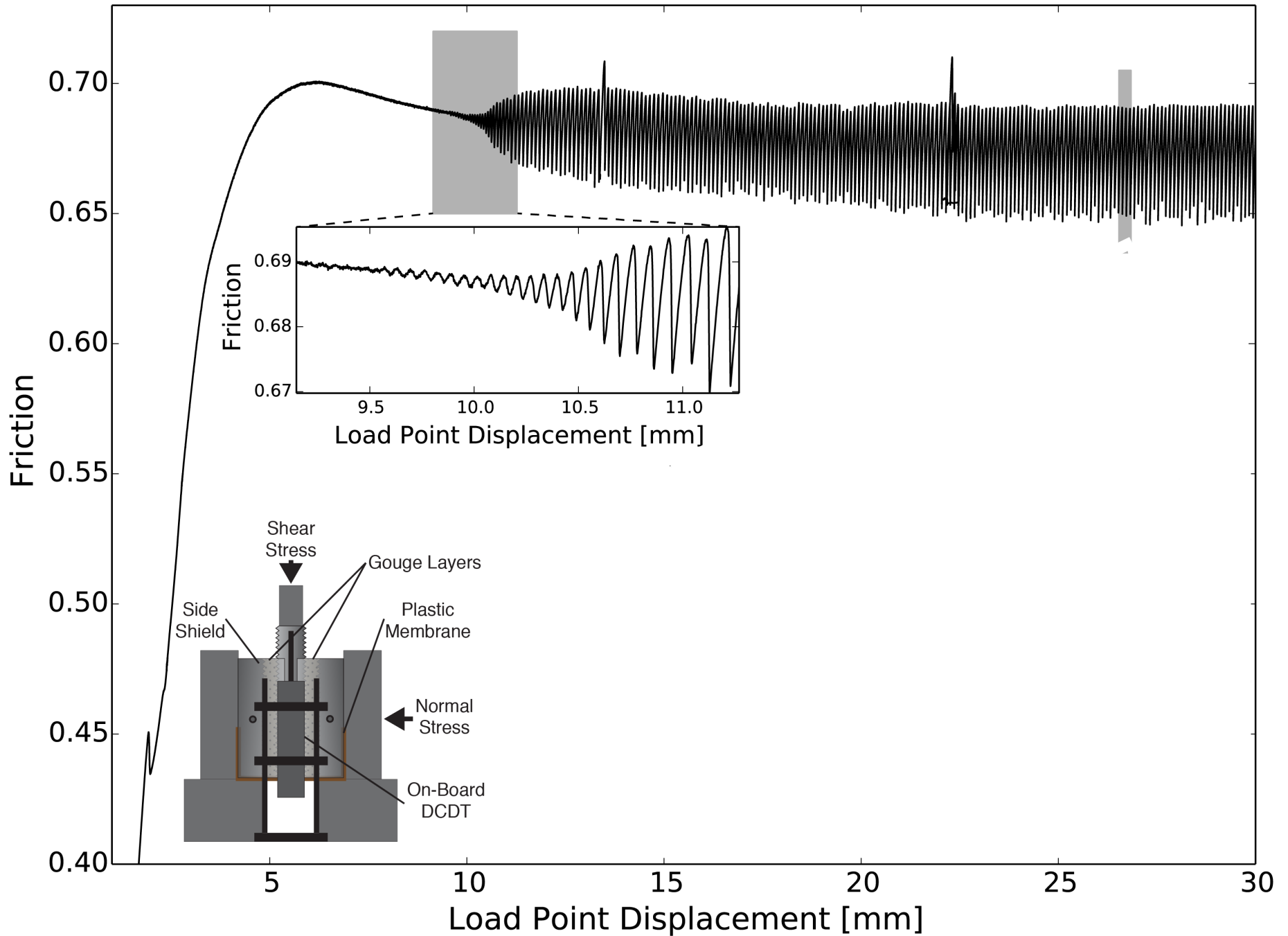


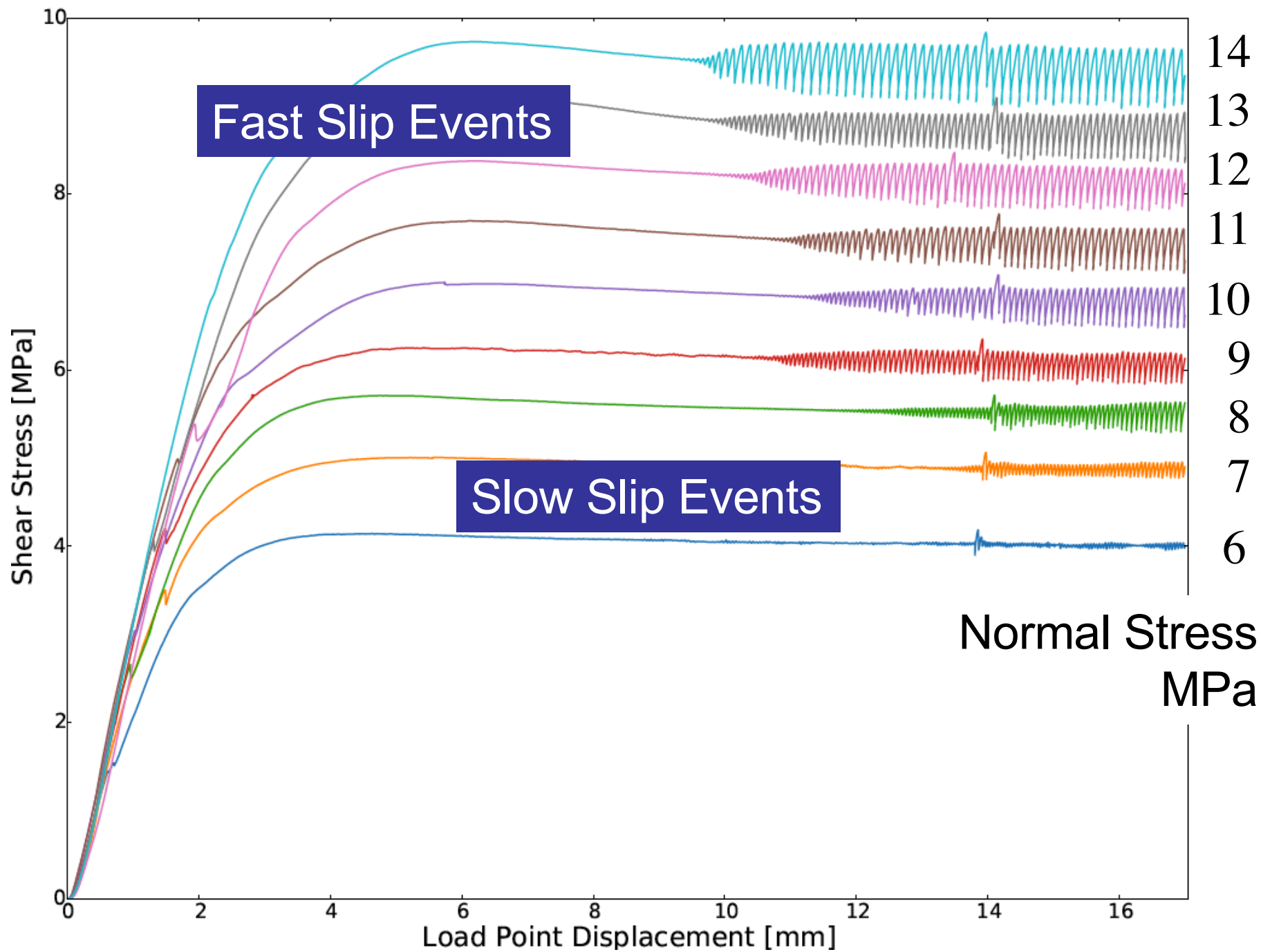
Slip is unstable if

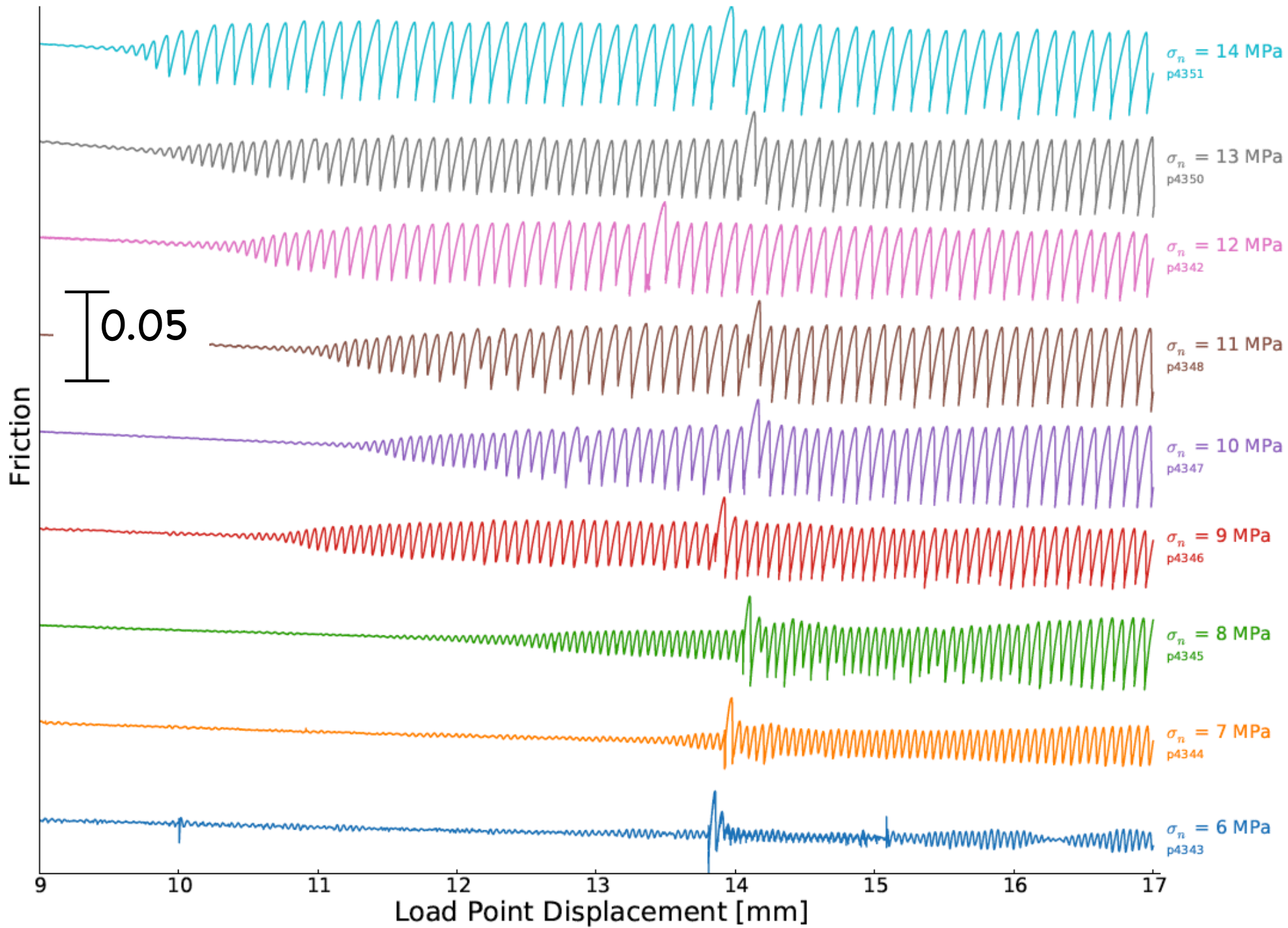
$$K < K_c$$

Complex behavior near the stability boundary, --but not for 1 sv rsf model

Repetitive Slow Stick-Slip

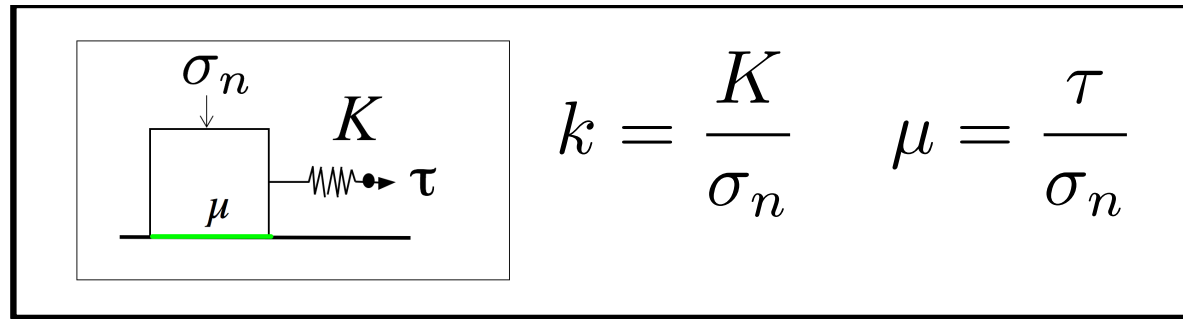






Mechanics of Faulting

Frictional Sliding: Stick-slip



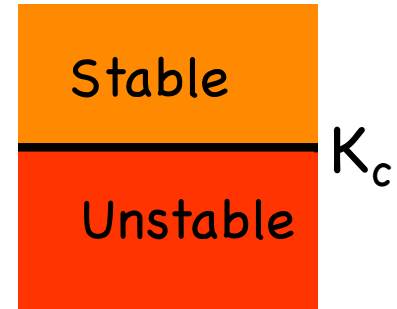
$$k = \frac{K}{\sigma_n} \quad \mu = \frac{\tau}{\sigma_n}$$

$$\frac{\tau(\theta, v)}{\sigma_n} = \mu_o + a \ln \left(\frac{v}{v_o} \right) + b \ln \left(\frac{v_o \theta}{D_c} \right)$$

$$\frac{d\theta}{dt} = -\frac{V\theta}{D_c} \ln \left(\frac{V\theta}{D_c} \right)$$

$$\frac{d\mu}{dt} = k(V_{lp} - V)$$

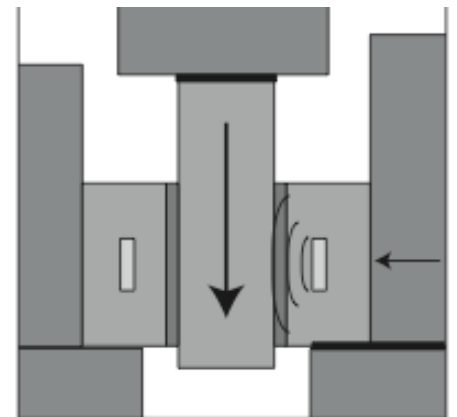
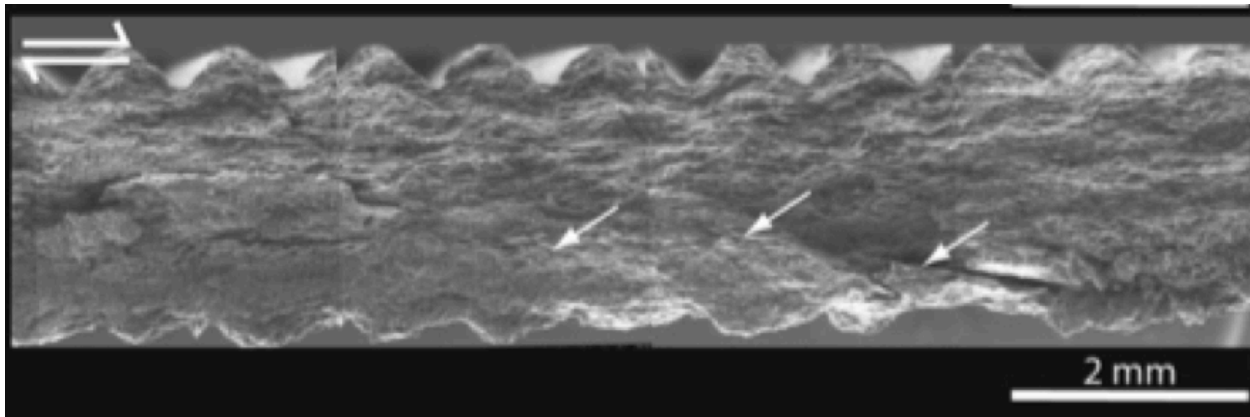
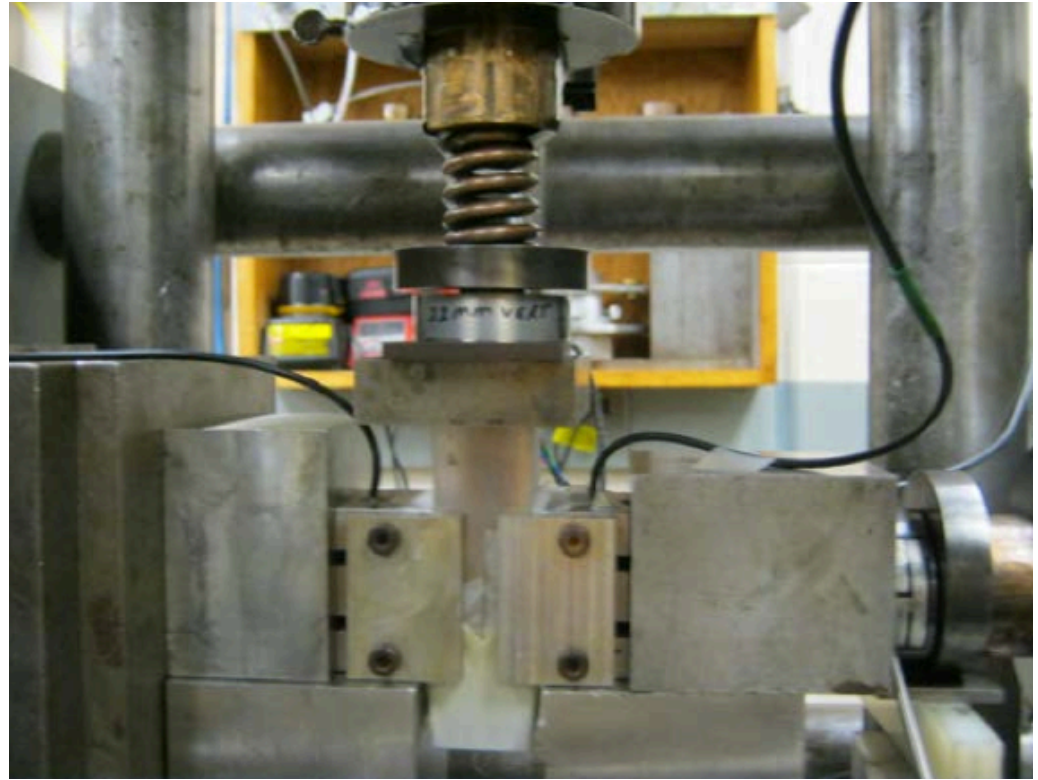
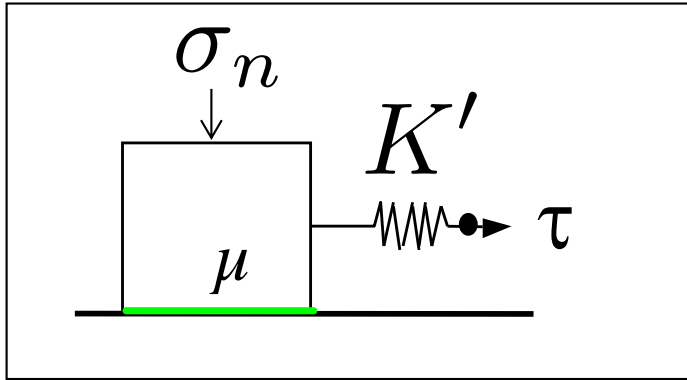
Stiffness, K



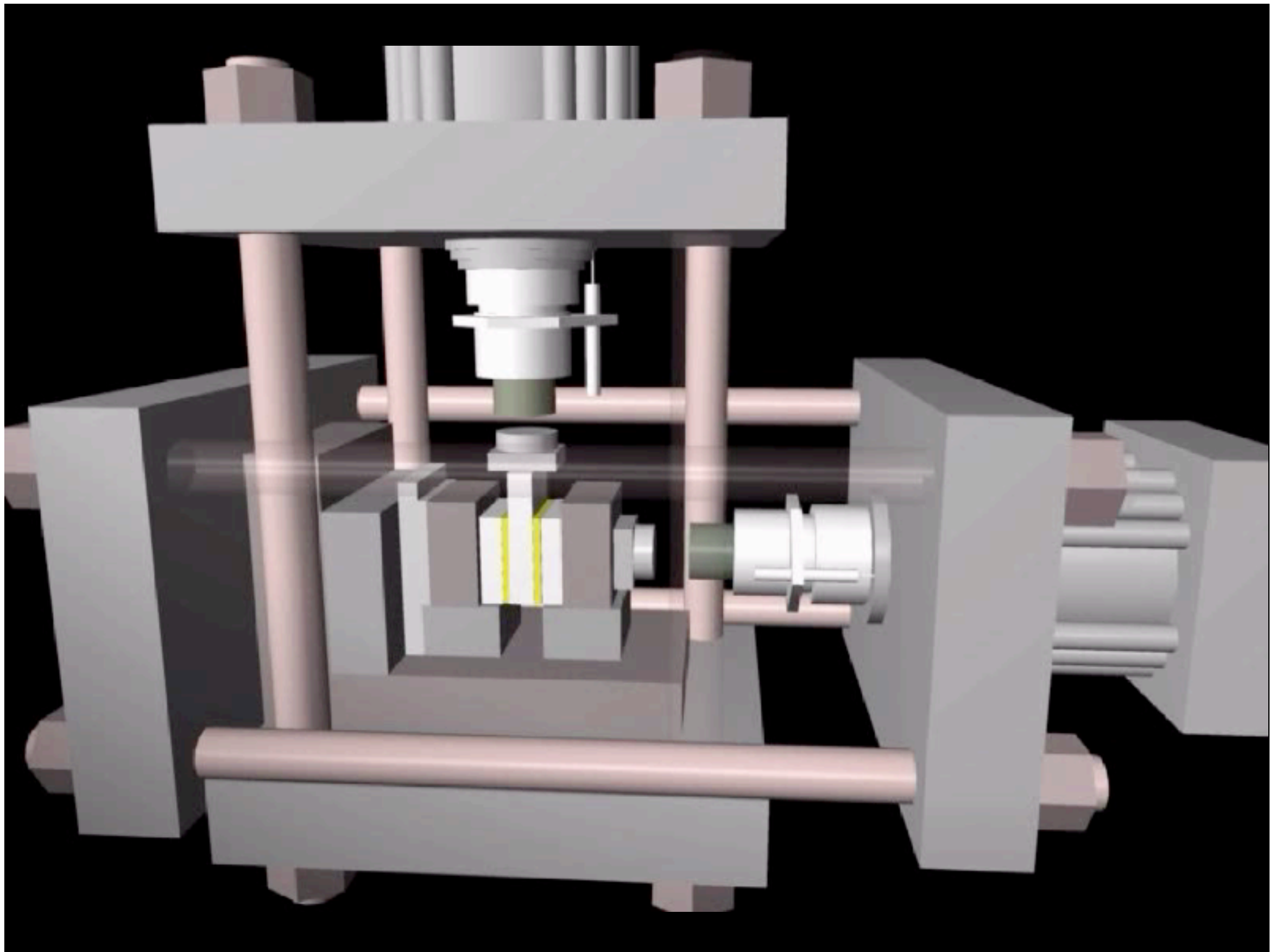
$$K_c = \frac{\sigma_n(b - a)}{D_c} \left[1 + \frac{mV_o^2}{\sigma_n a D_c} \right]$$

Dieterich, 1979; Ruina, 1983; Rice & Ruina, 1983; Gu et al., 1984

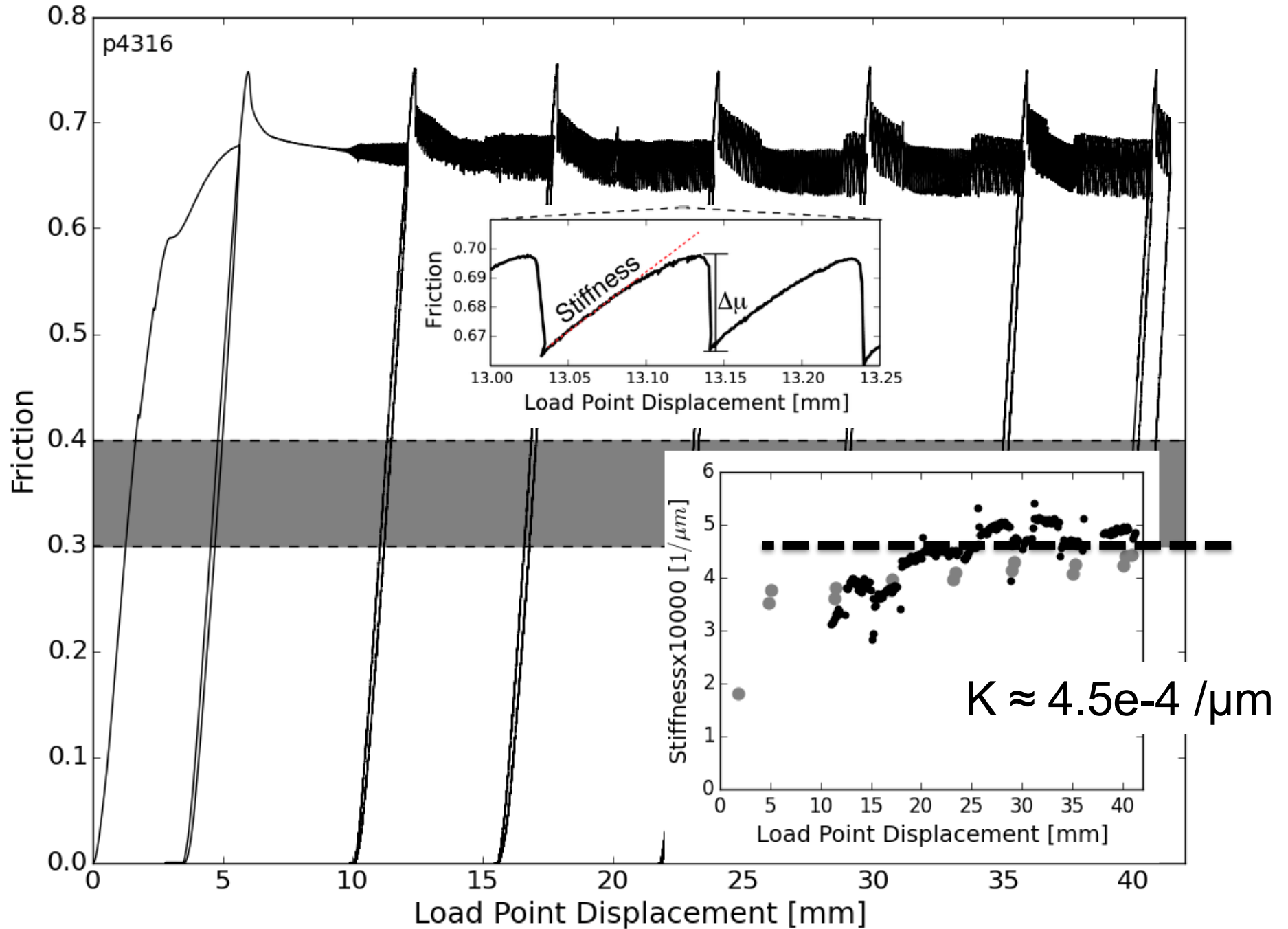
elastic loading stiffness



Double direct shear with biaxial loading



We measure elastic loading stiffness using 2 methods



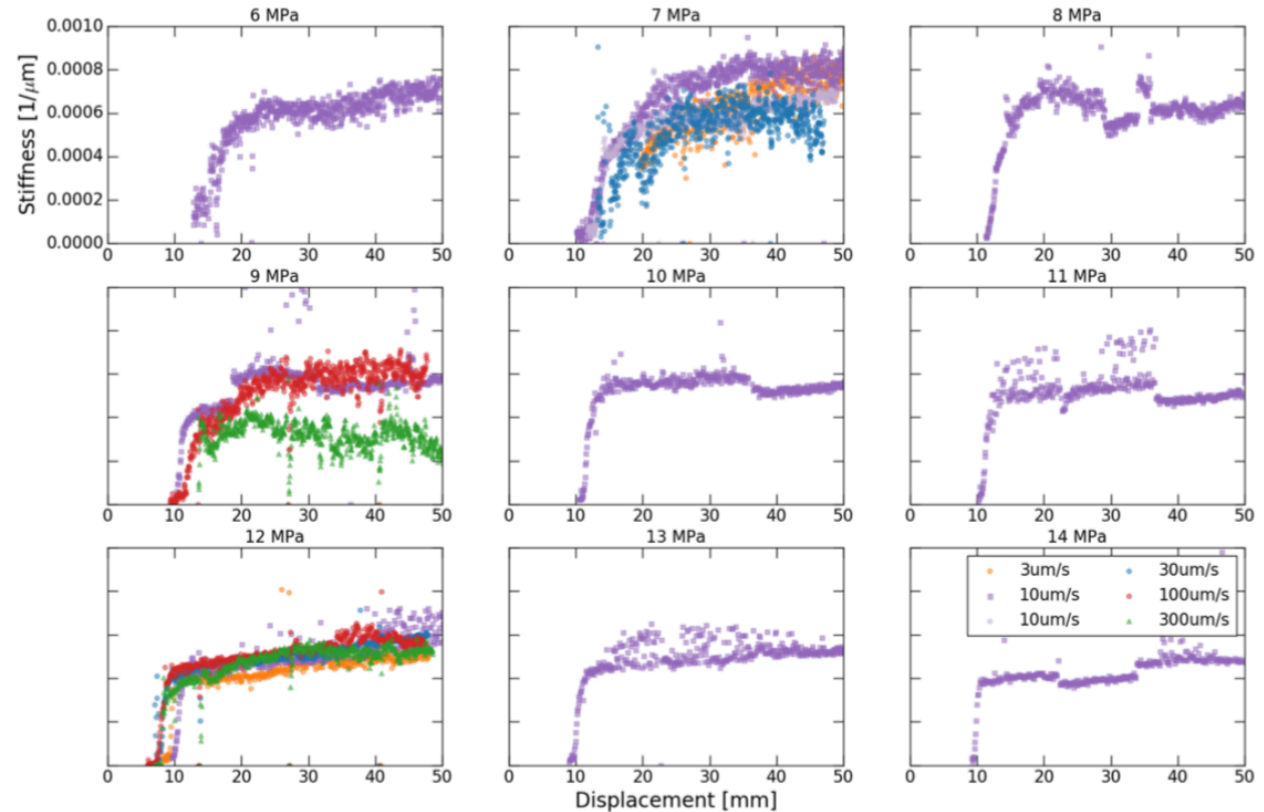
RESEARCH ARTICLE Frictional Mechanics of Slow Earthquakes

10.1029/2018JB015768

J. R. Leeman¹, C. Marone¹, and D. M. Saffer¹

Special Section: Slow Slip Phenomena and Plate Boundary Processes

¹Department of Geosciences and Center for Geomechanics, Geofluids, and Geohazards, The Pennsylvania State University, University Park, PA, USA

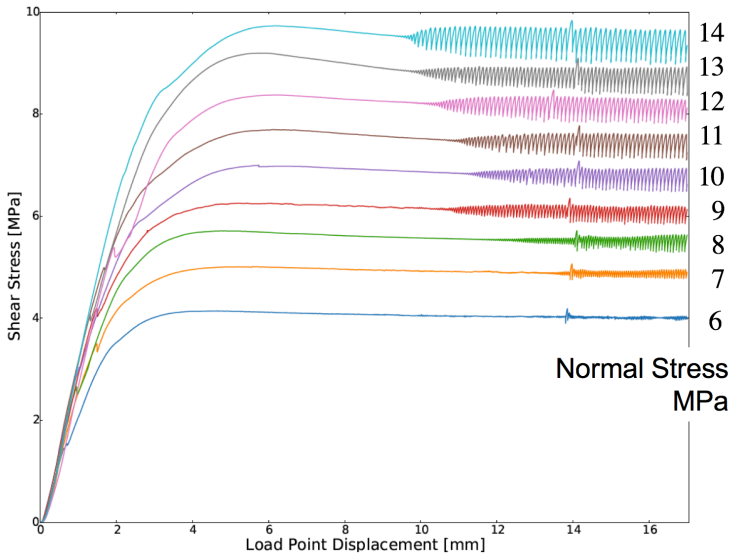


Slip is unstable if

$$K < K_c$$

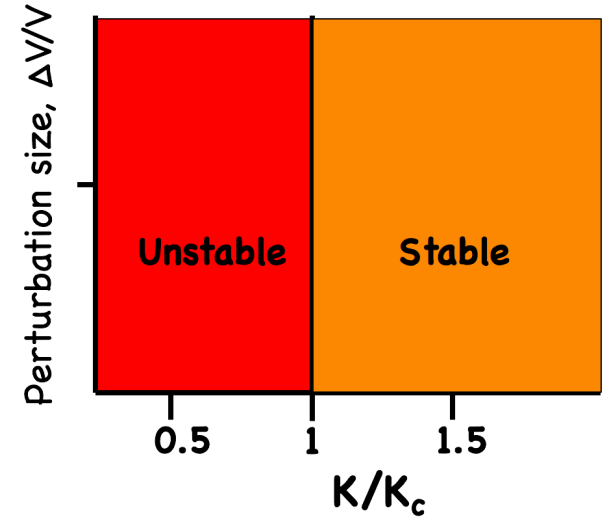
$$K_c = \frac{(b - a)}{D_c}$$

Figure S6. The effective stiffness of each stick-slip event at a given normal stress as a function of displacement. Notice the quick rise once events begin and asymptotic approach of a final steady-state value.



$$K_c = \frac{(b - a)}{D_c}$$

$$K' = \frac{K}{\sigma_n}$$



Stiffness

+

0

-

$$K \approx 4.5e-4/\mu m$$

Shear displacement

Rate and State Friction

Dieterich, Scholz, Ruina, Rice

$$\mu(\theta, v, \sigma) = \mu_0 + a \ln\left(\frac{v}{v_0}\right) + b \ln\left(\frac{v_0 \theta}{D_c}\right)$$

$$\frac{d\theta}{dt} = 1 - \frac{v\theta}{D_c} \quad \text{Dieterich State Evolution}$$

$$\theta = \theta_0 \left(\frac{\sigma_{initial}}{\sigma_{final}} \right)^{\frac{\alpha}{b}}$$

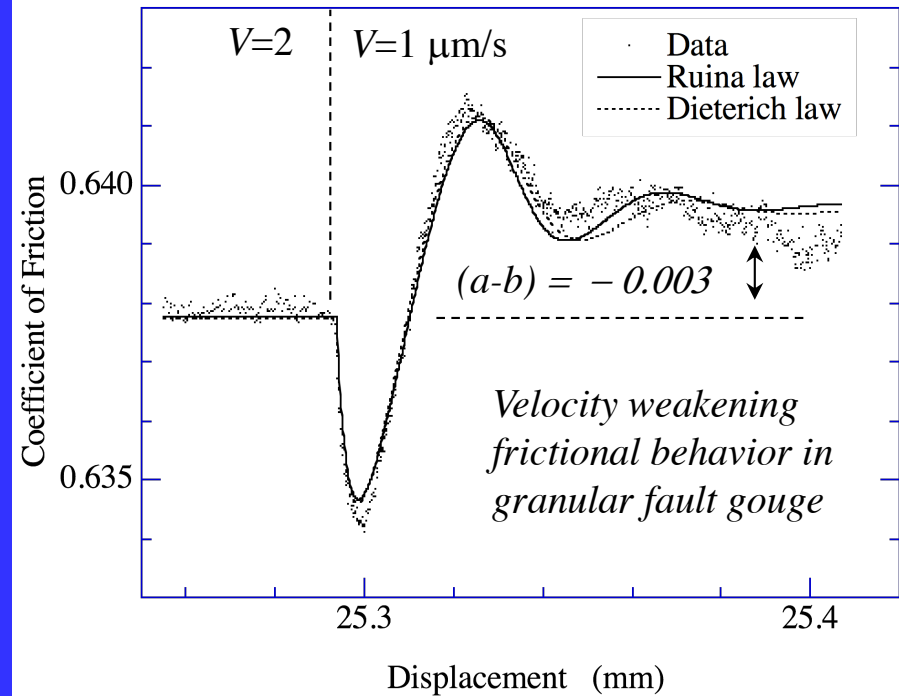
$$\theta_{ss} = \frac{D_c}{v}$$

$$\Delta\mu_{ss} = (a - b) \ln\left(\frac{v}{v_0}\right)$$

$$\frac{d\mu}{dt} = k' (v_{lp} - v)$$

$$K_c = \sigma \frac{(b-a)}{D_c} + \frac{m v_0^2 (b-a)}{D_c^2}$$

Empirical laws, based on laboratory friction data

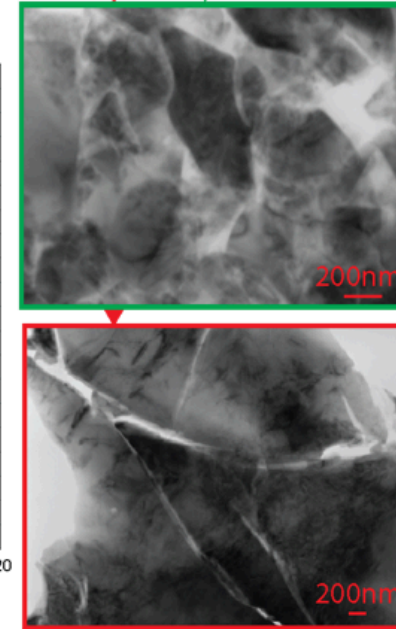
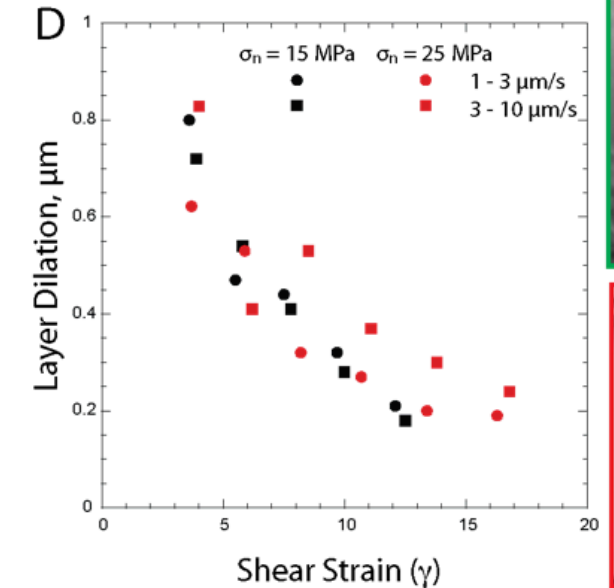
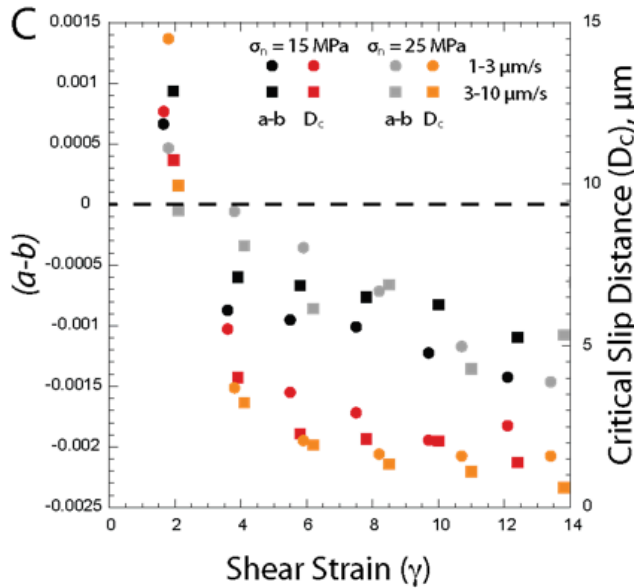
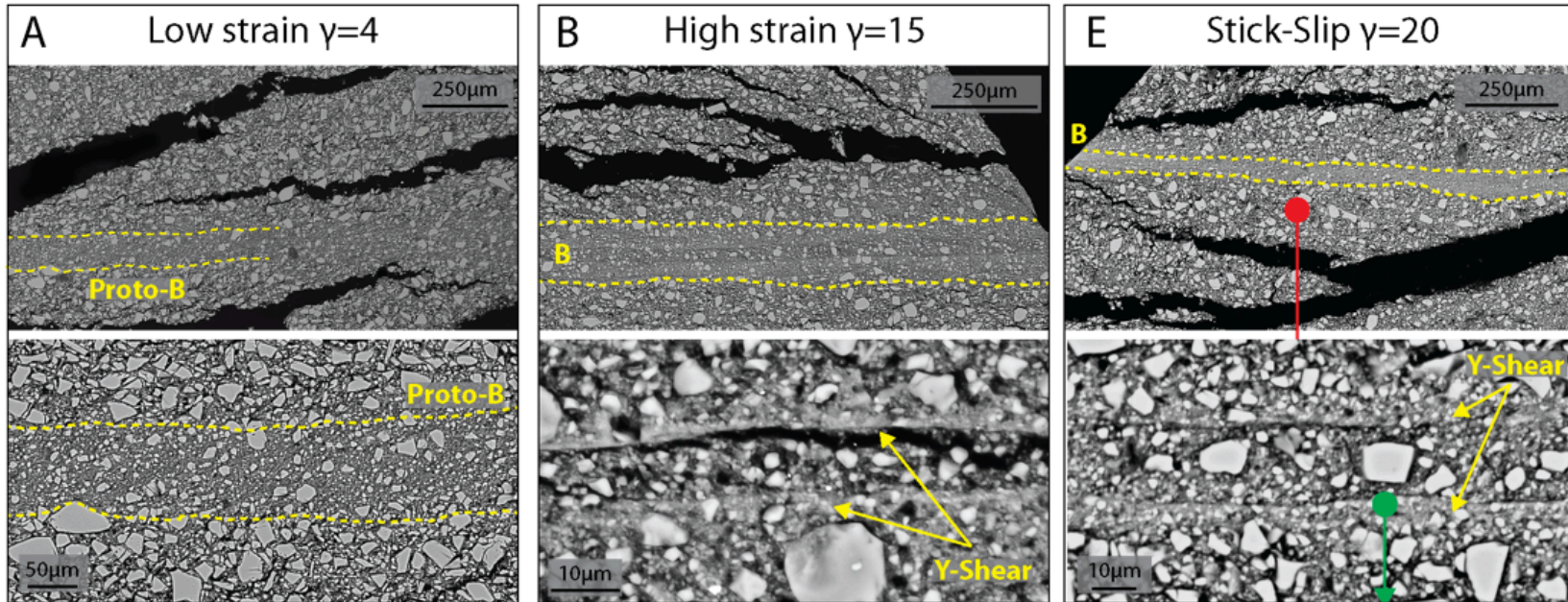


Thermally-activated process

$$v = v_0 \exp\left(\frac{\mu - \mu_0 - b\varphi}{a}\right)$$

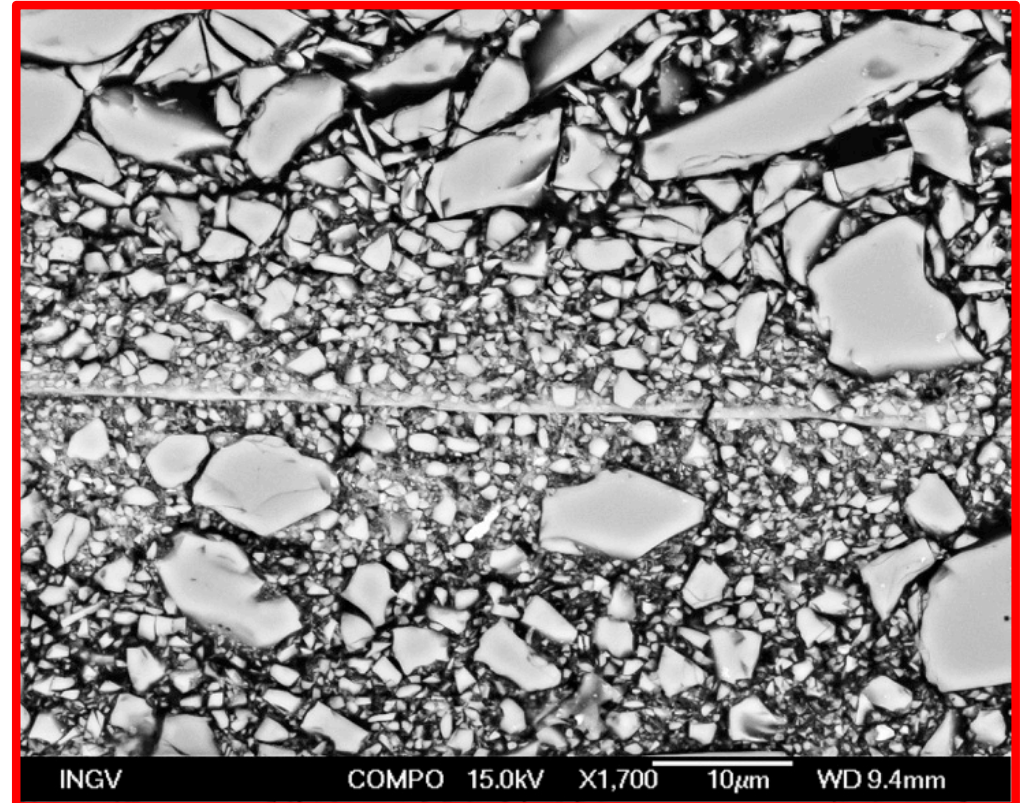
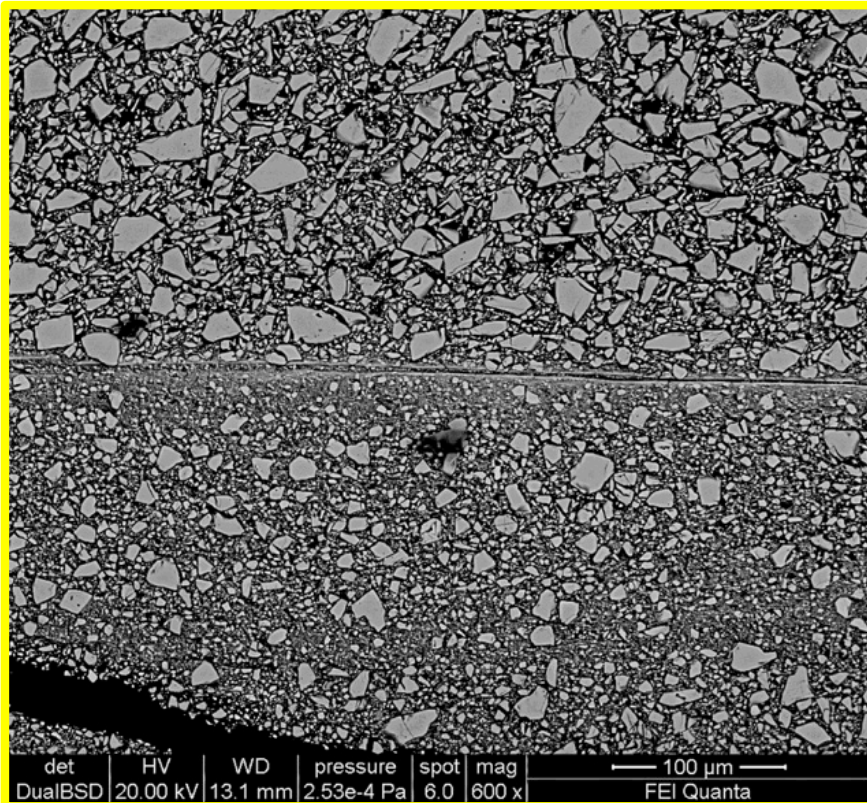
$$\dot{\varepsilon} = \dot{\varepsilon}_0 \exp\left[-\frac{(Q - \tau_c \Omega)}{kT}\right]$$

Fault Zone Microstructure



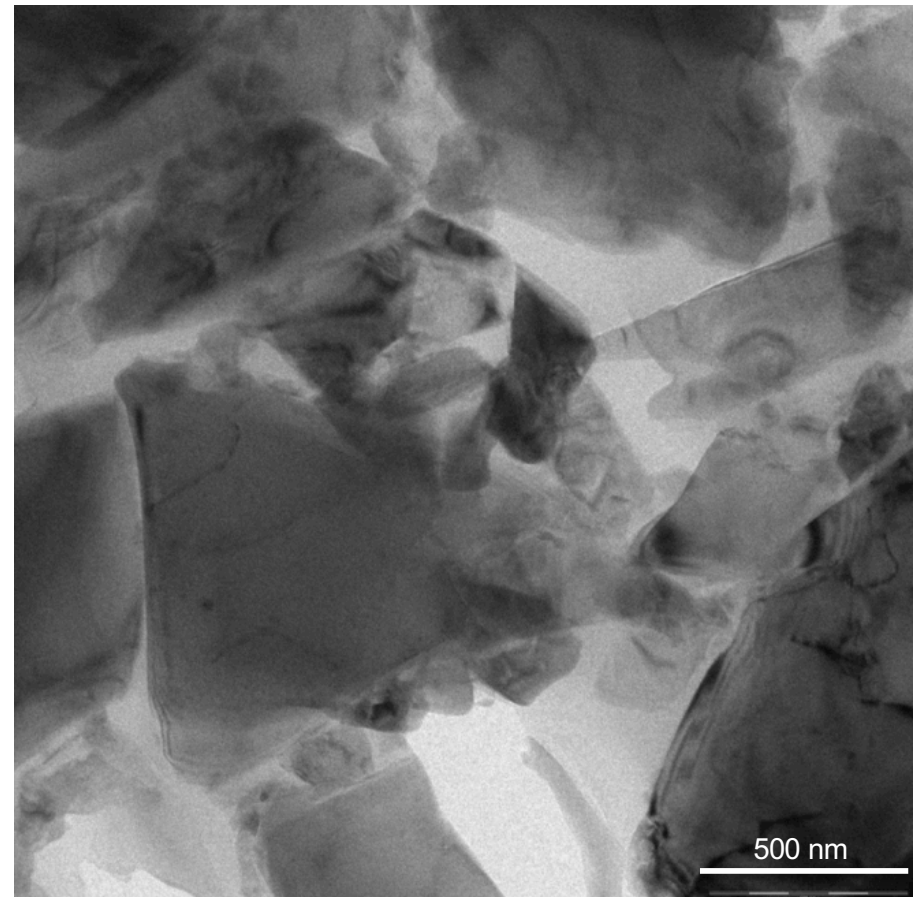
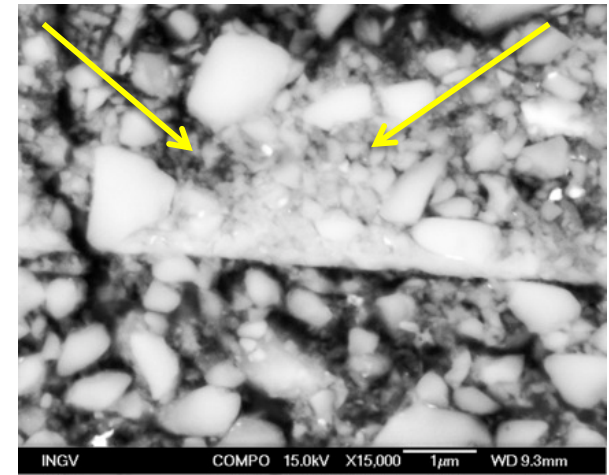
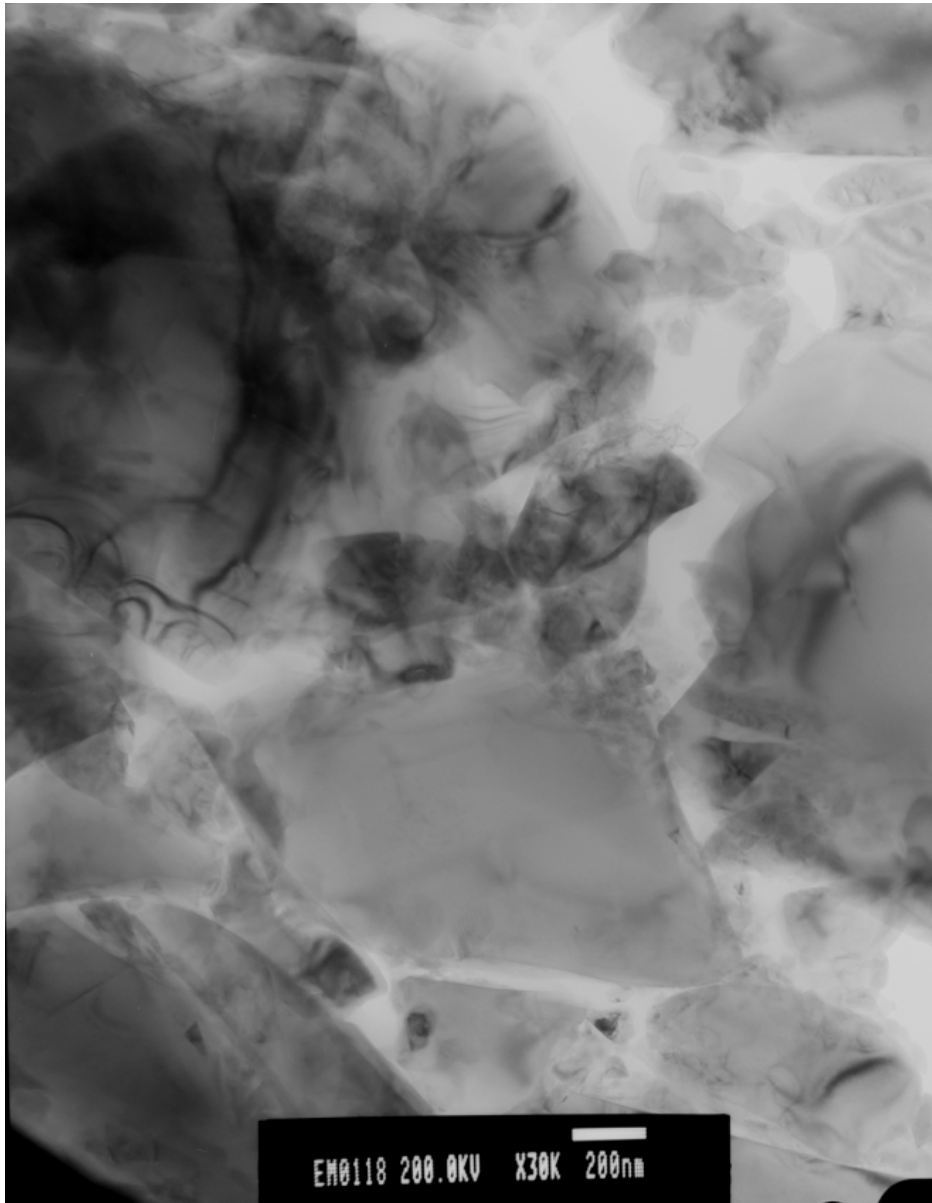
Fault Zone Microstructures

- Fault zone microstructure and shear fabric has a clear signature in friction constitutive properties.
- As shear localizes the fault zone becomes more unstable.

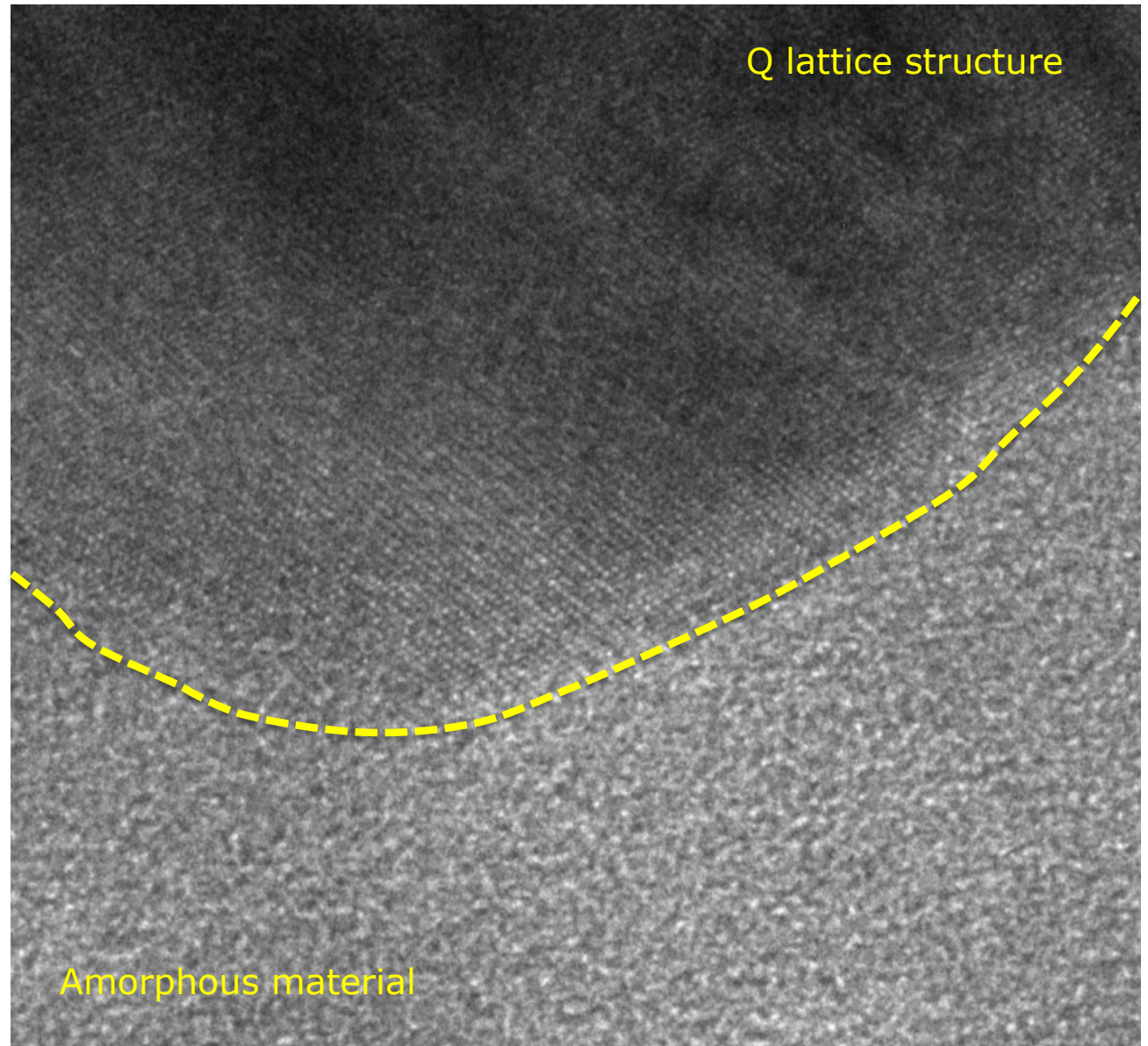
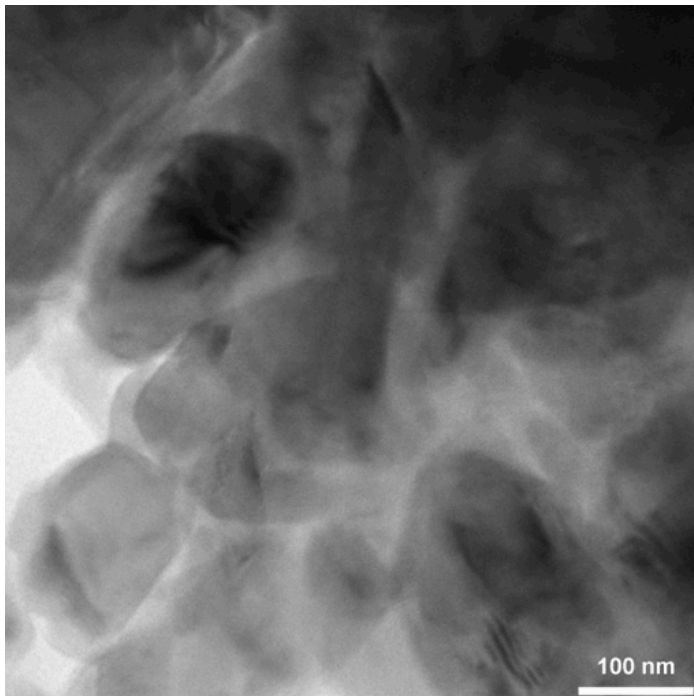


Stick & slip: nano-structures NEAR the slipping plane.

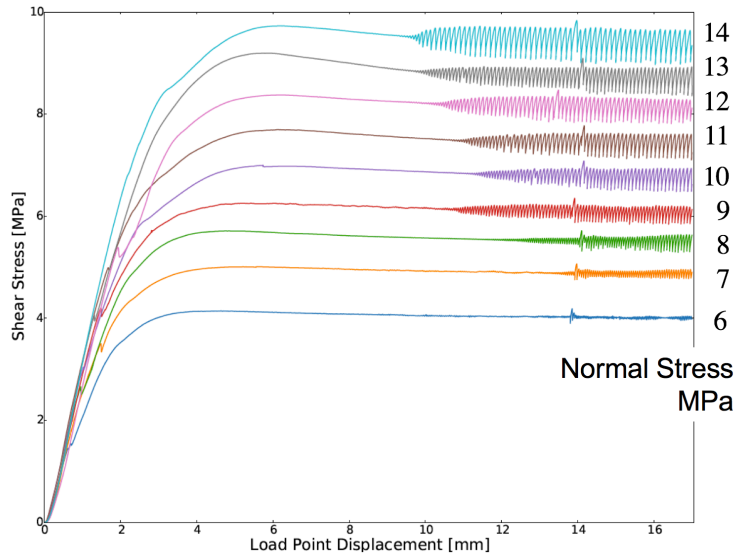
Some fractured Q grains (1 μm -300 nm) with sharp grain-boundaries. Dislocations with sub-grains development.



Stick & slip: nano-structures INTO the slipping plane.
Smaller grains surrounded by an amorphous film.



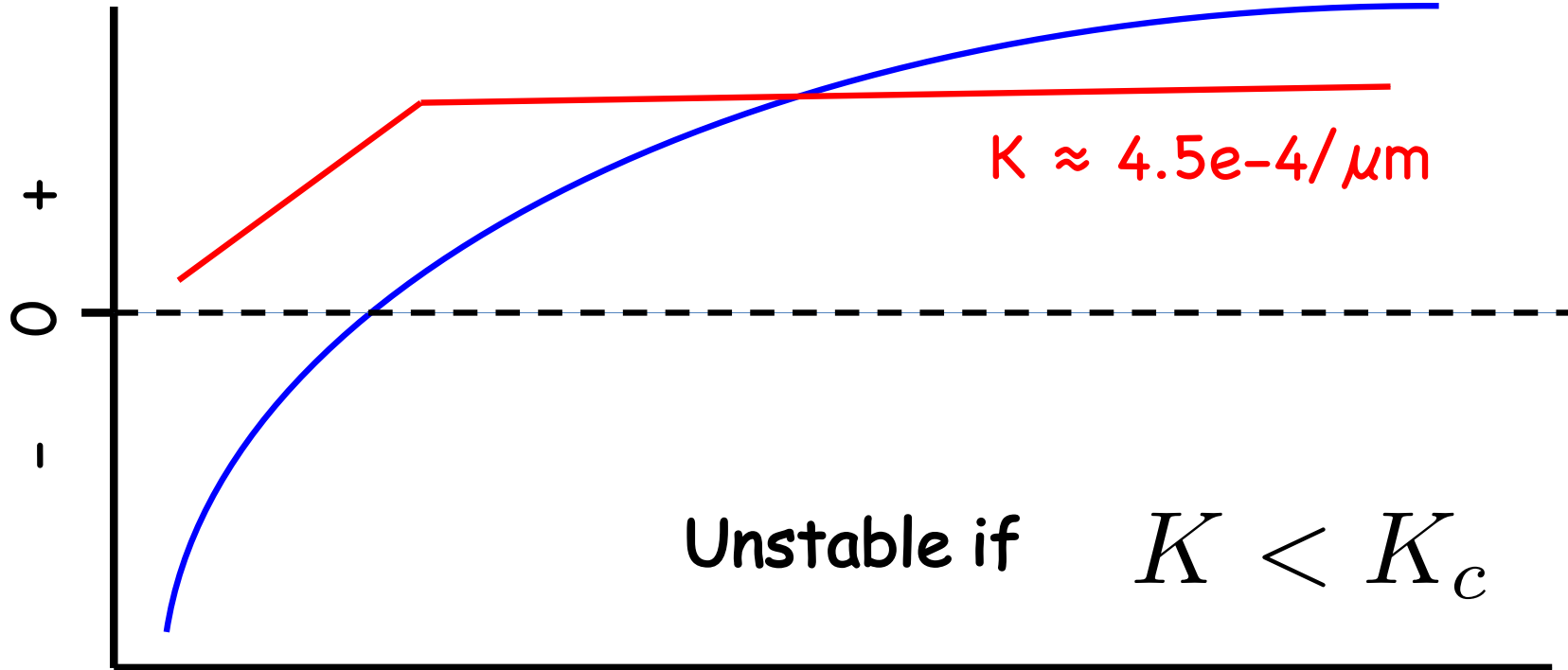
Stiffness, Frictional Rheology



$$\frac{K'}{\sigma_n} < K_c = \frac{(b - a)}{D_c}$$

$$K_c \approx 7e-4/\mu\text{m}$$

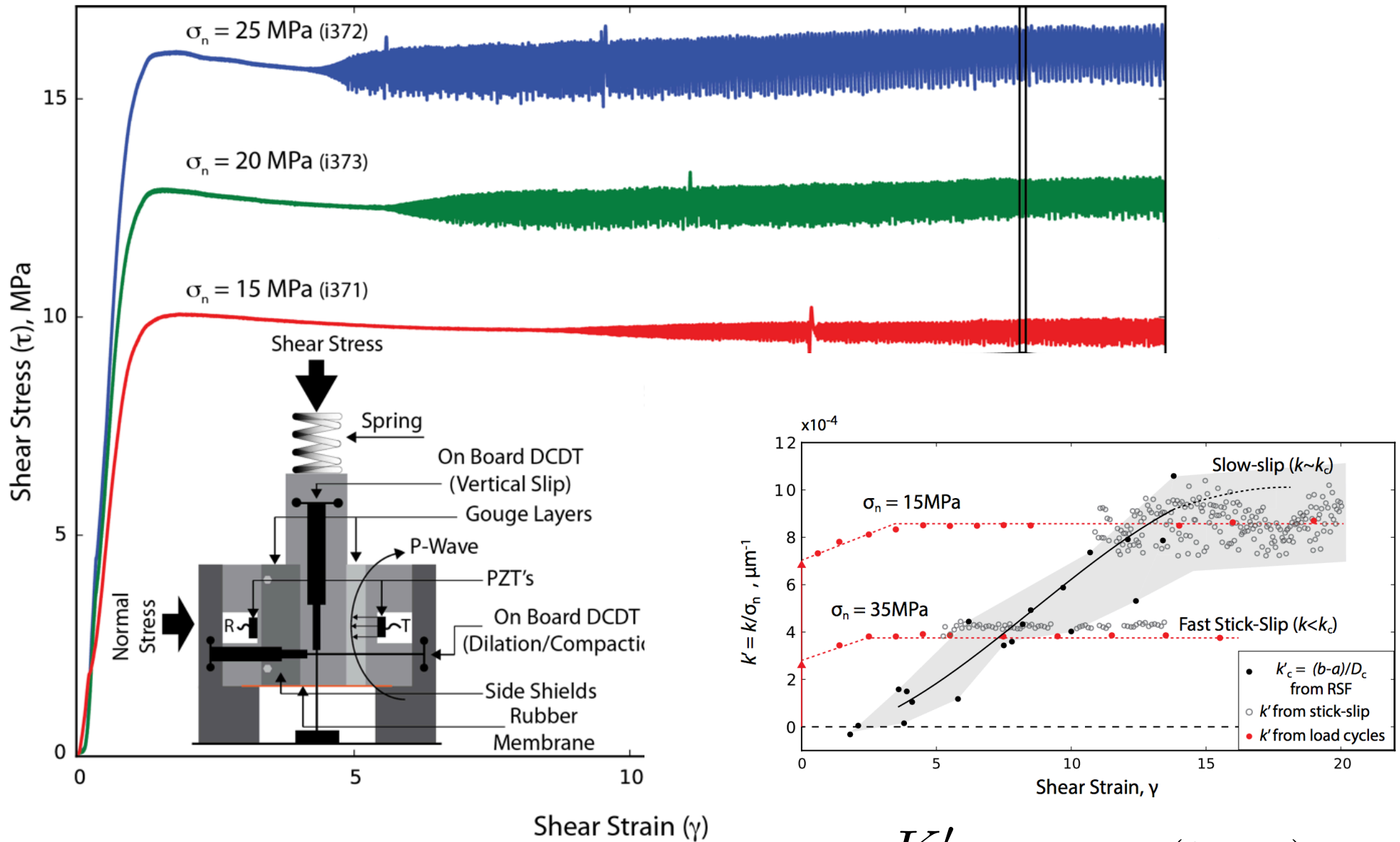
$$K \approx 4.5e-4/\mu\text{m}$$



Unstable if $K < K_c$

Shear displacement

Repetitive Slow Stick-Slip



Scuderi et al., *Geology*, 2017

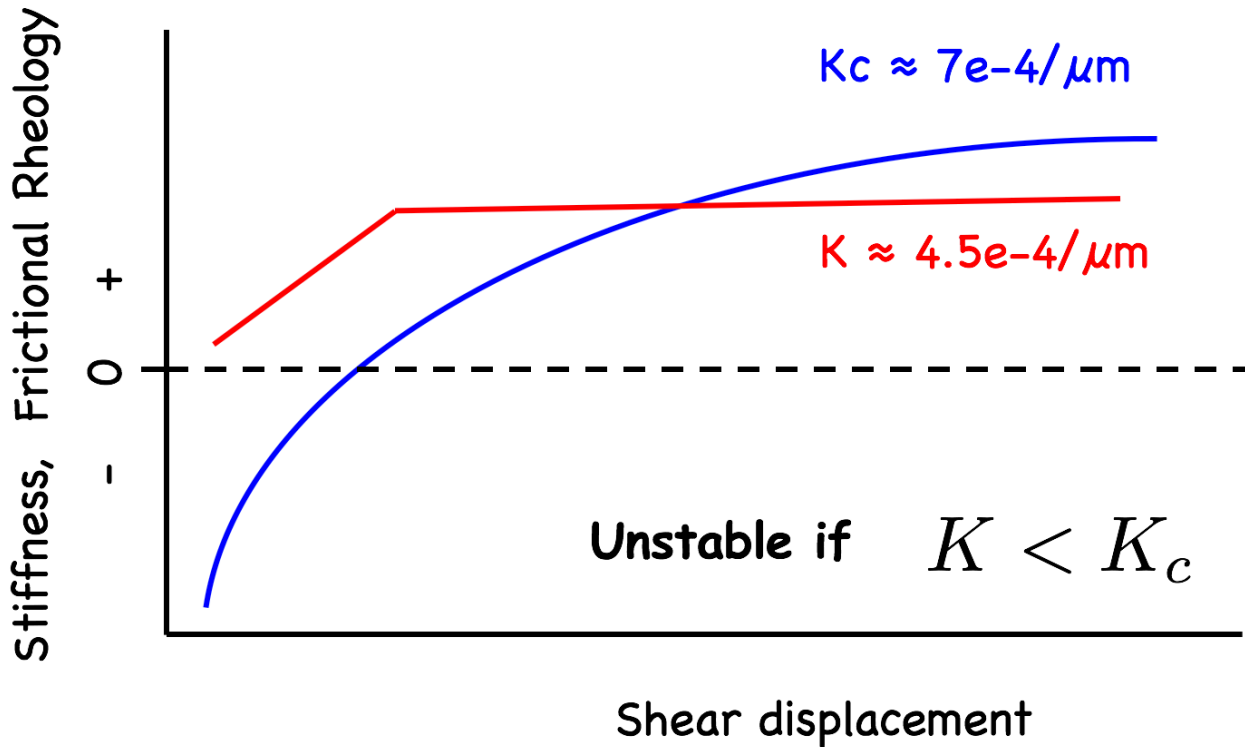
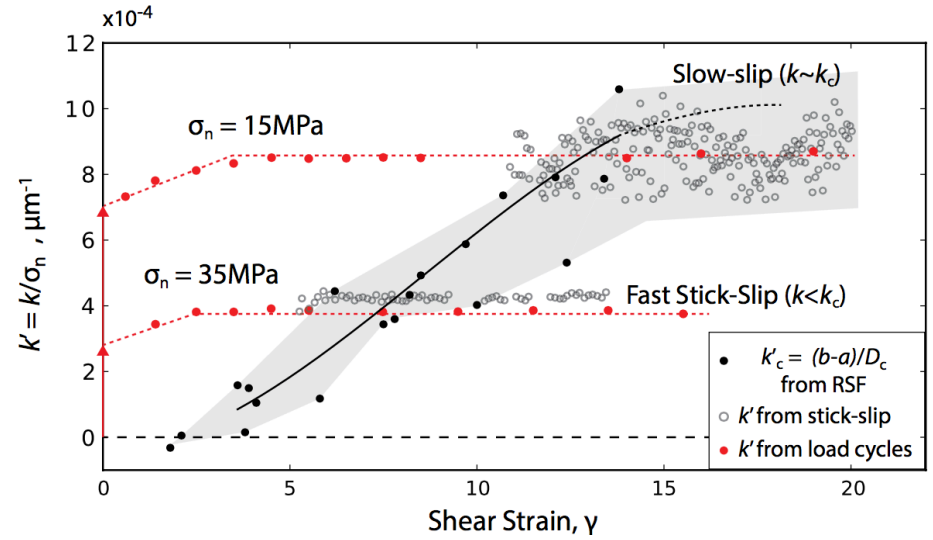
$$\frac{K'}{\sigma_n} < K_c = \frac{(b-a)}{D_c}$$

$$\frac{K'}{\sigma_n} < K_c = \frac{(b-a)}{D_c}$$

Control
Parameters

$$K_c = \frac{(b-a)}{D_c}$$

Rheology
(weakening rate)



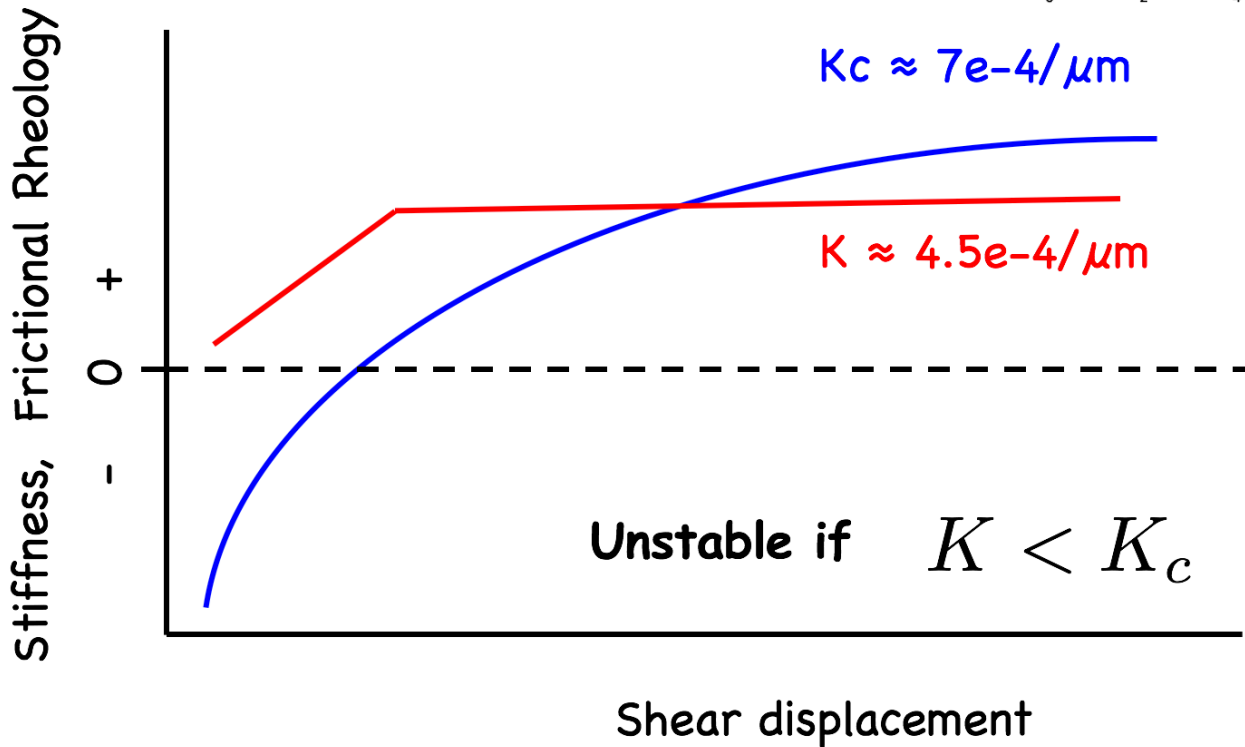
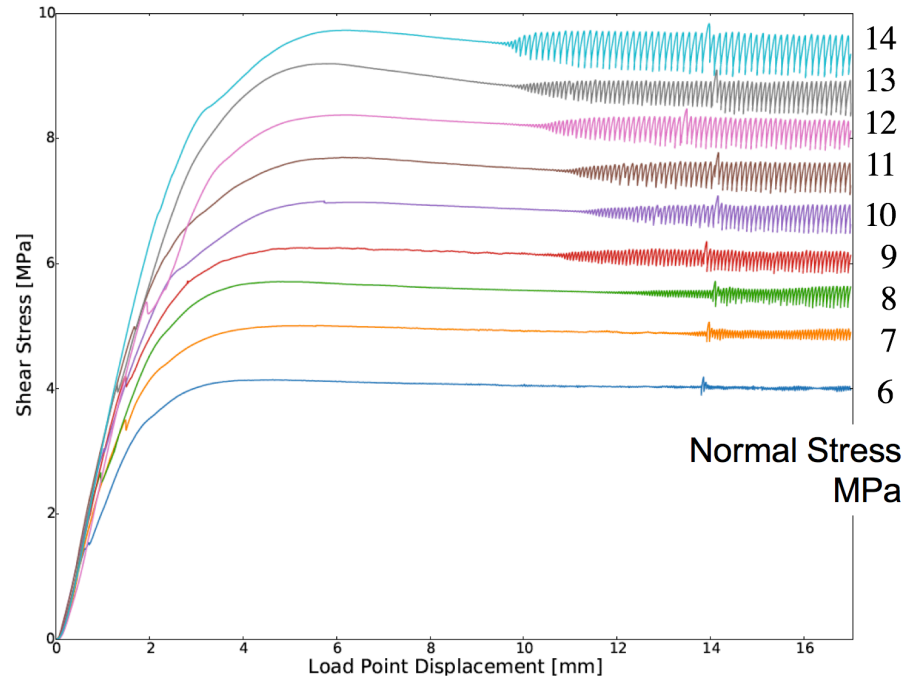
$$\frac{K'}{\sigma_n}$$

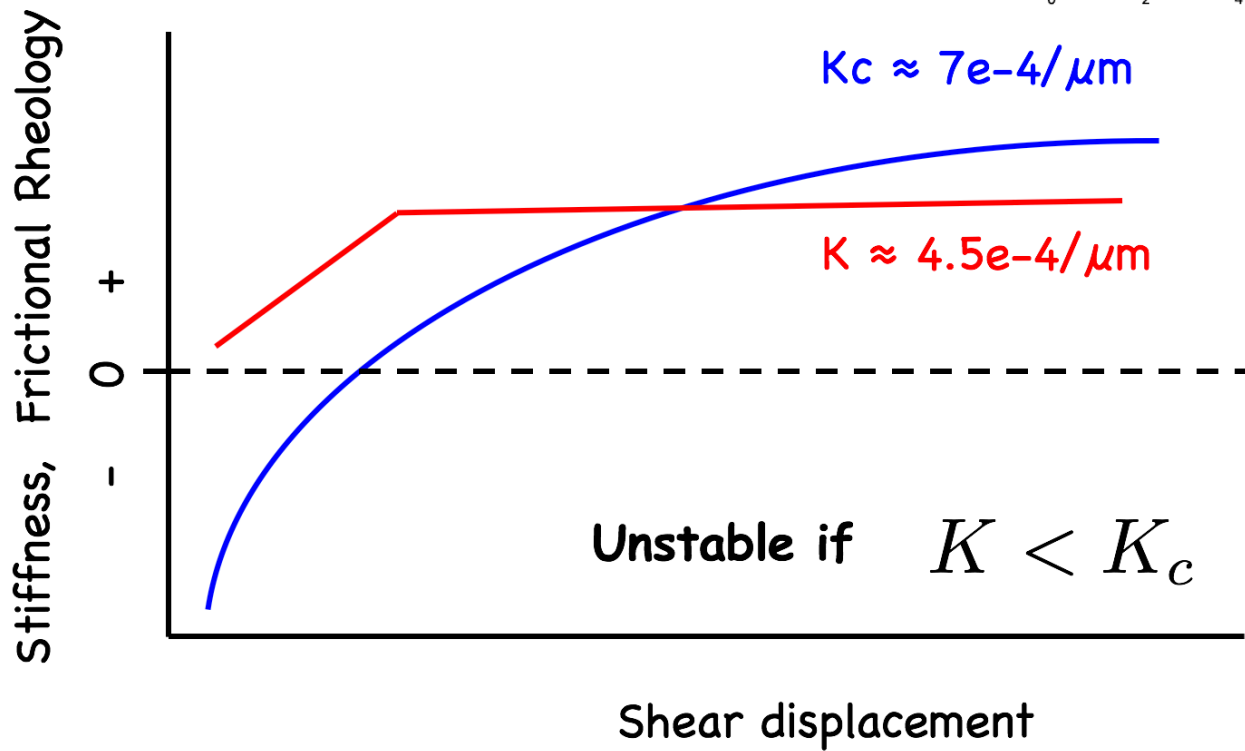
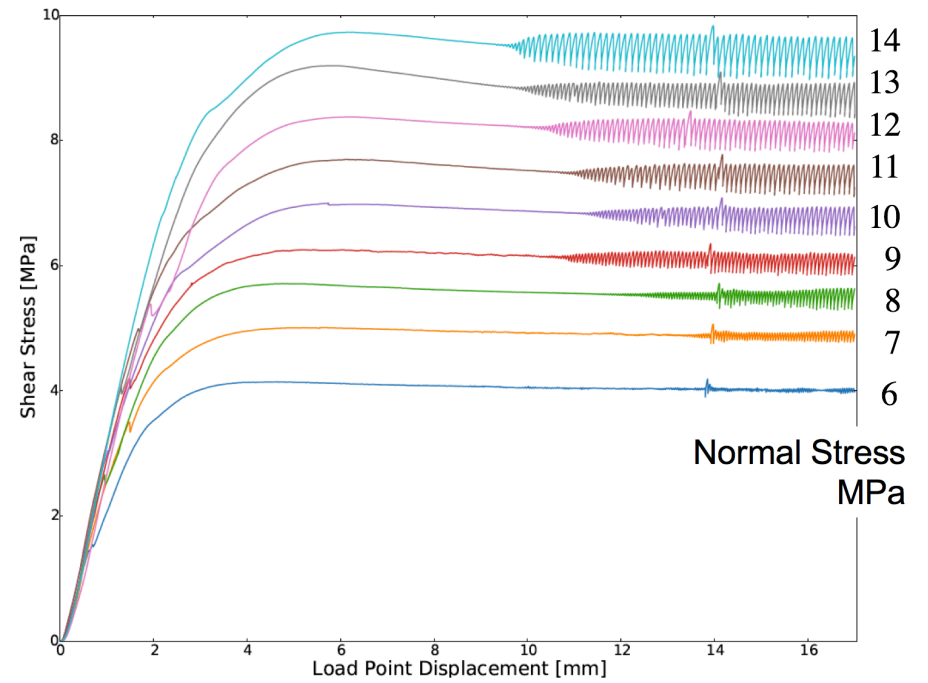
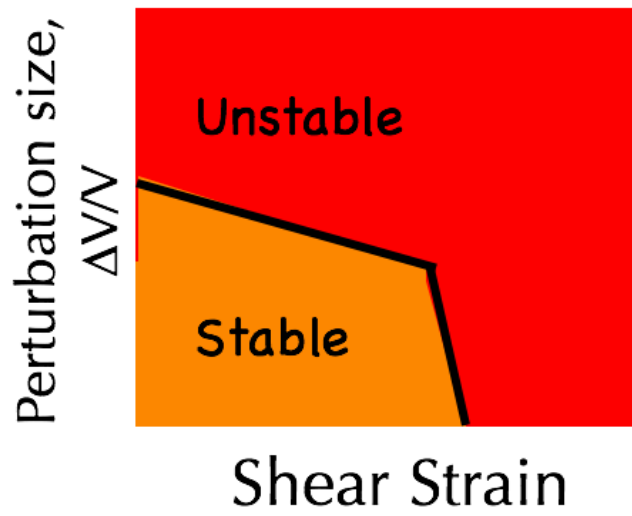
$< K_c$

Control
Parameters

$$= \frac{(b - a)}{D_c}$$

Rheology
(weakening rate)





Frictional Sliding: Stability transition depends on strain (shear displacement) and slip velocity)

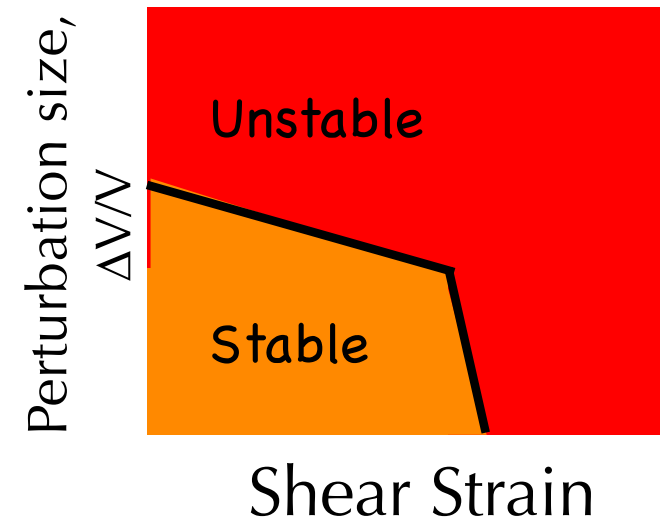
$$\frac{\tau(\theta, v)}{\sigma_n} = \mu_o + a \ln \left(\frac{v}{v_o} \right) + b \ln \left(\frac{v_o \theta}{D_c} \right)$$

$$\frac{d\theta}{dt} = -\frac{V\theta}{D_c} \ln \left(\frac{V\theta}{D_c} \right)$$

$$\frac{d\mu}{dt} = k(V_{lp} - V)$$

$$K_c = \frac{\sigma_n(b - a)}{D_c} \left[1 + \frac{mV_o^2}{\sigma_n a D_c} \right]$$

$$K_c(V, \gamma)$$



Frictional Sliding: Stability transition depends on strain (shear displacement) and slip velocity)

JOURNAL OF GEOPHYSICAL RESEARCH, VOL. 104, NO. B12, PAGES 28,899–28,914, DECEMBER 10, 1999

Friction of simulated fault gouge for a wide range of velocities and normal stresses

Karen Mair and Chris Marone

Department of Earth, Atmospheric and Planetary Sciences, Massachusetts Institute of Technology, Cambridge

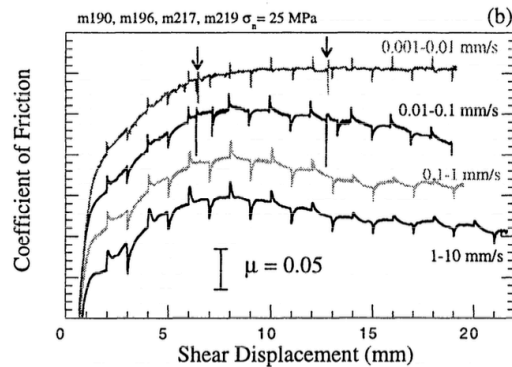


Figure 3. (a) Coefficient of friction and layer thickness as a function of shear displacement for a “fast” velocity test carried out at 1-10 mm/s and $\sigma_n = 25$ MPa. The velocity steps are indicated by dashed lines, and α is shown schematically. (b) Friction as a function of shear displacement for a range of tests at $\sigma_n = 25$ MPa with velocity ranging between 0.001-10 mm/s (all velocity steps are factor of 10 increases and decreases). Curves are offset for clarity since friction levels are comparable. Arrows indicate short holds required for linear variable displacement transducer (lvdt) range offset in the slower tests. Note the decrease in $\Delta\mu_{\text{direct}}$ with slip at high velocity.

changes in $\Delta\mu_{\text{direct}}$. Changes in $\Delta\mu_{\text{evol}}$ here are not solely responsible for the transition in (a-b) with increasing slip.

In Figure 8b we plot $\Delta\mu_{\text{direct}}$ for discrete ranges of shear displacement. At low velocity, data for all shear displacements plot together as expected from Figure 8a. However, at high ve-

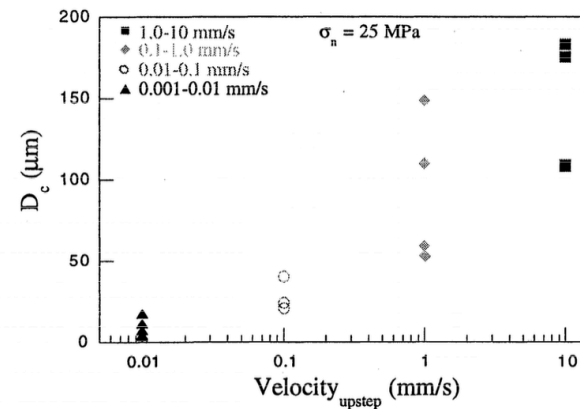
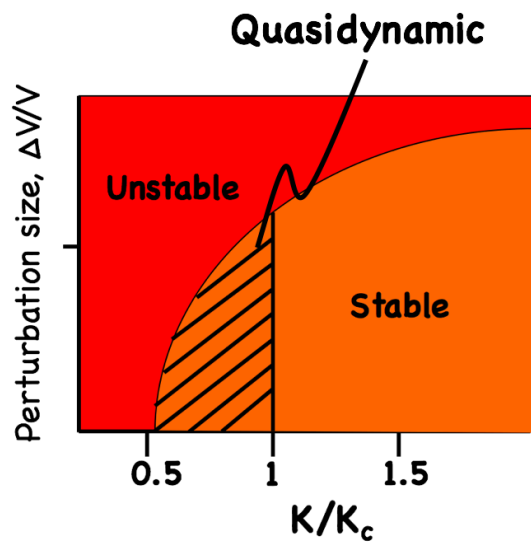
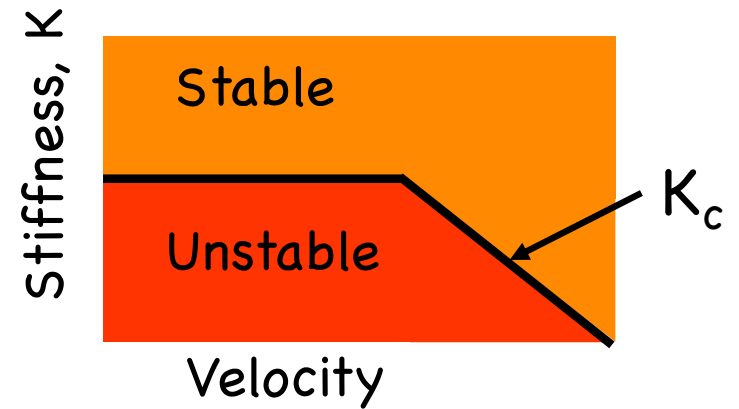
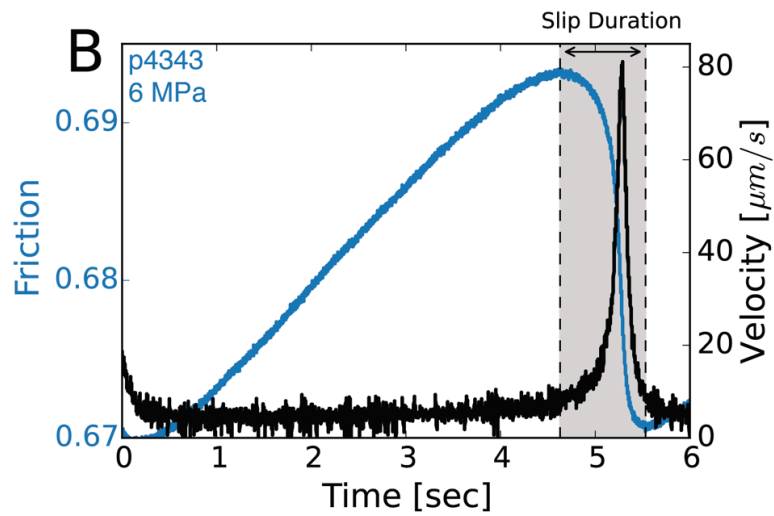
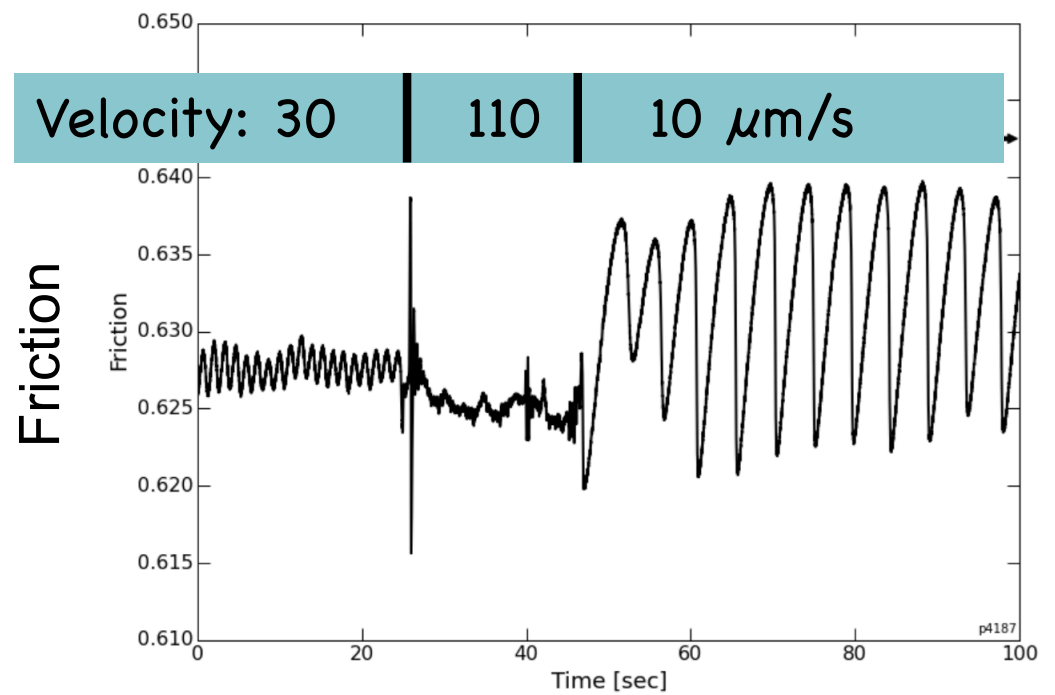


Figure 4. Critical slip displacement (D_c) as a function of upstep velocity for a range of experiments at $\sigma_n = 25$ MPa. D_c is systematically larger as a function of increasing velocity.

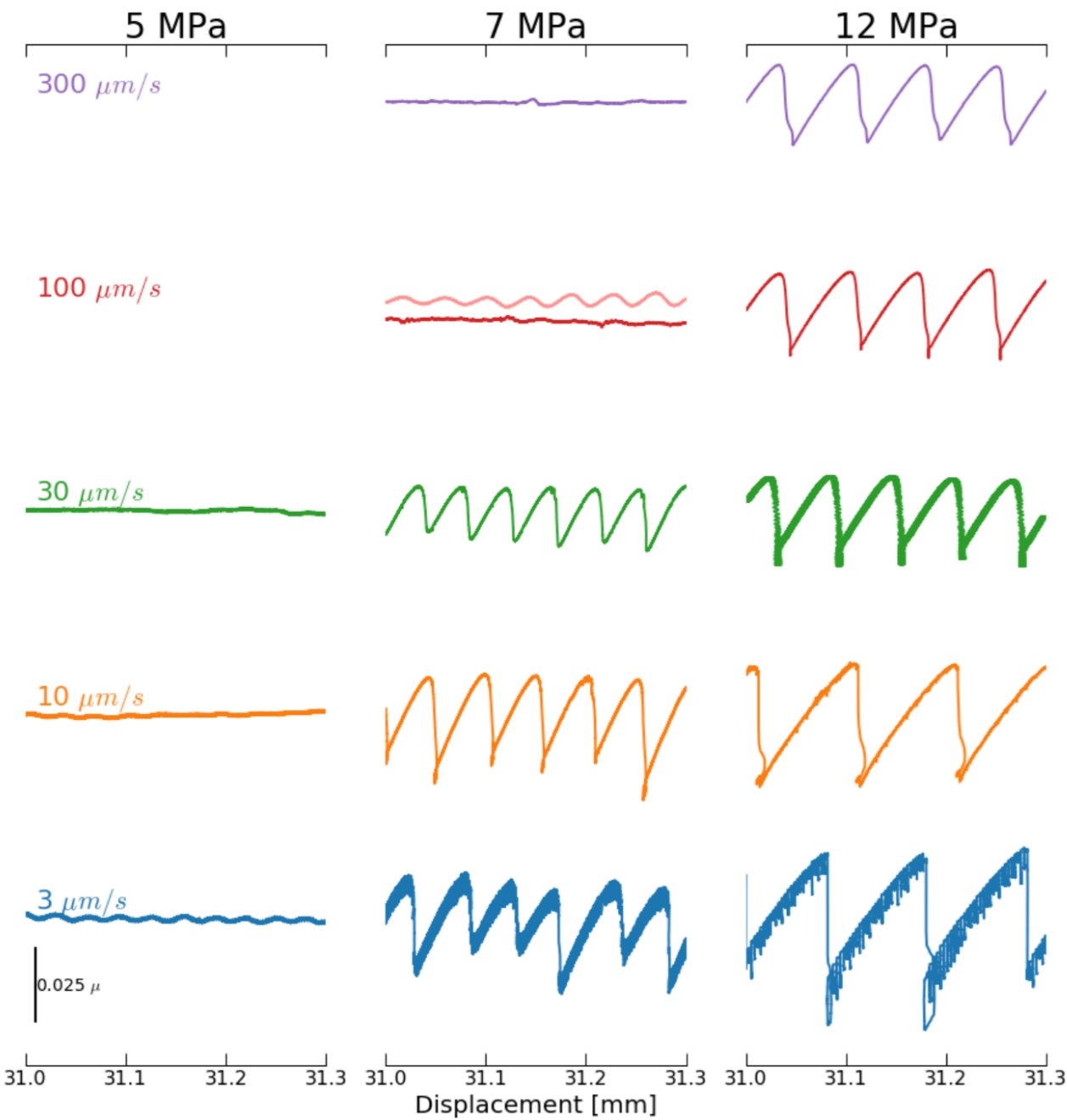


Gu et al., 1984



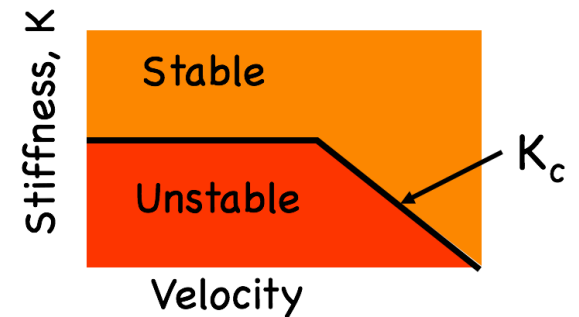
Leeman, Marone & Saffer *JGR*, 2018

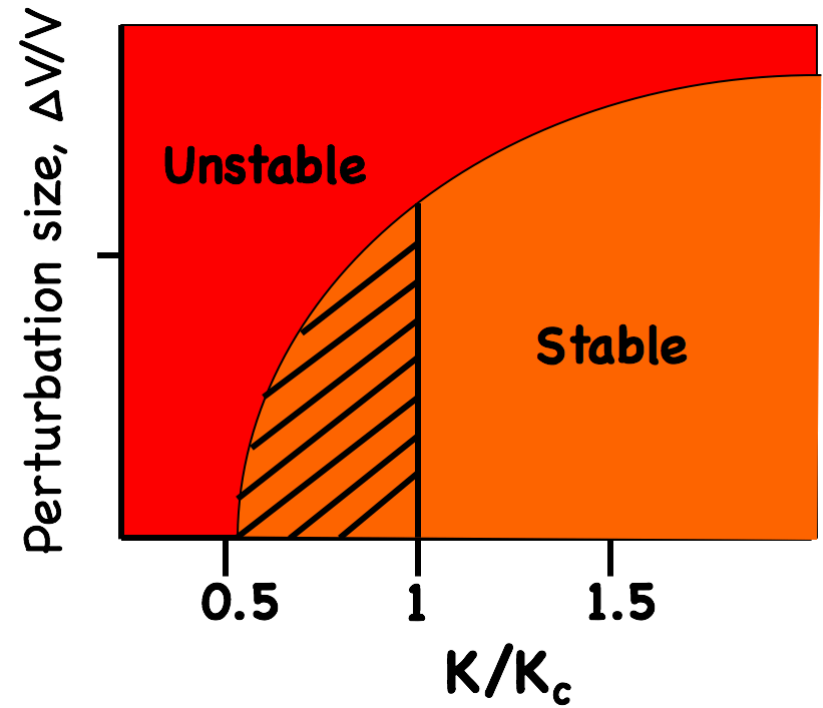
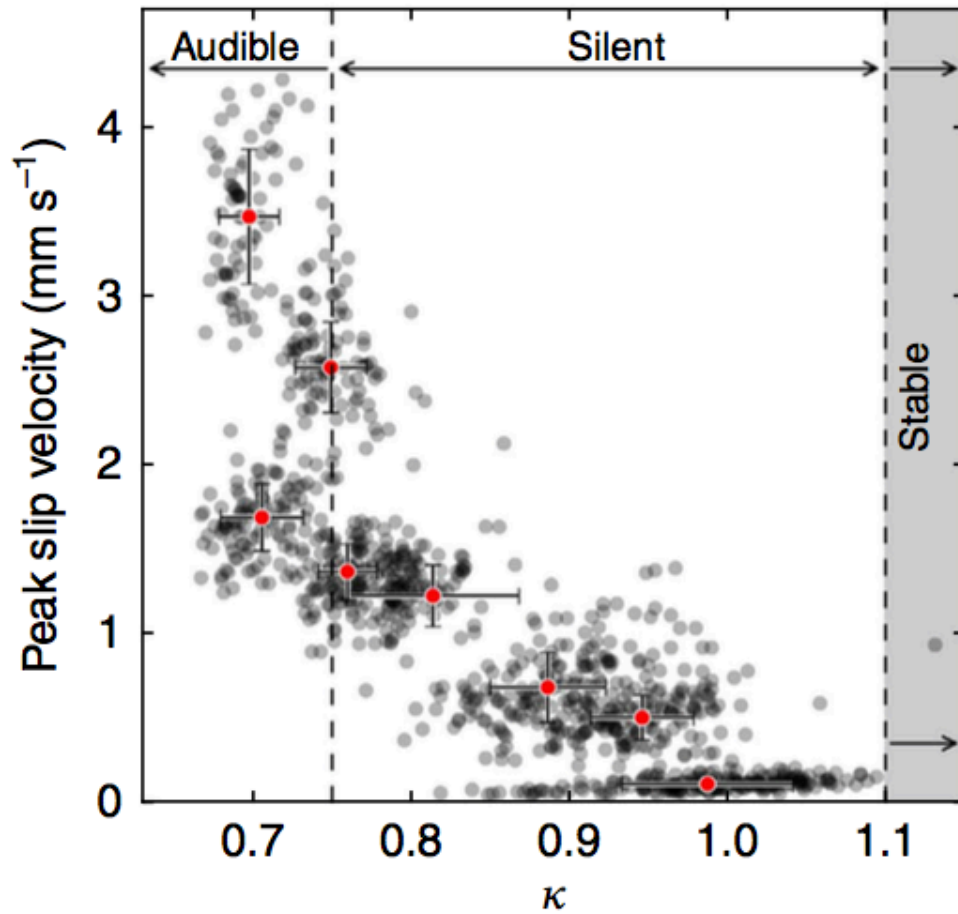
Slow Slip



K_c is a function of slip velocity, normal stress, and the friction parameters

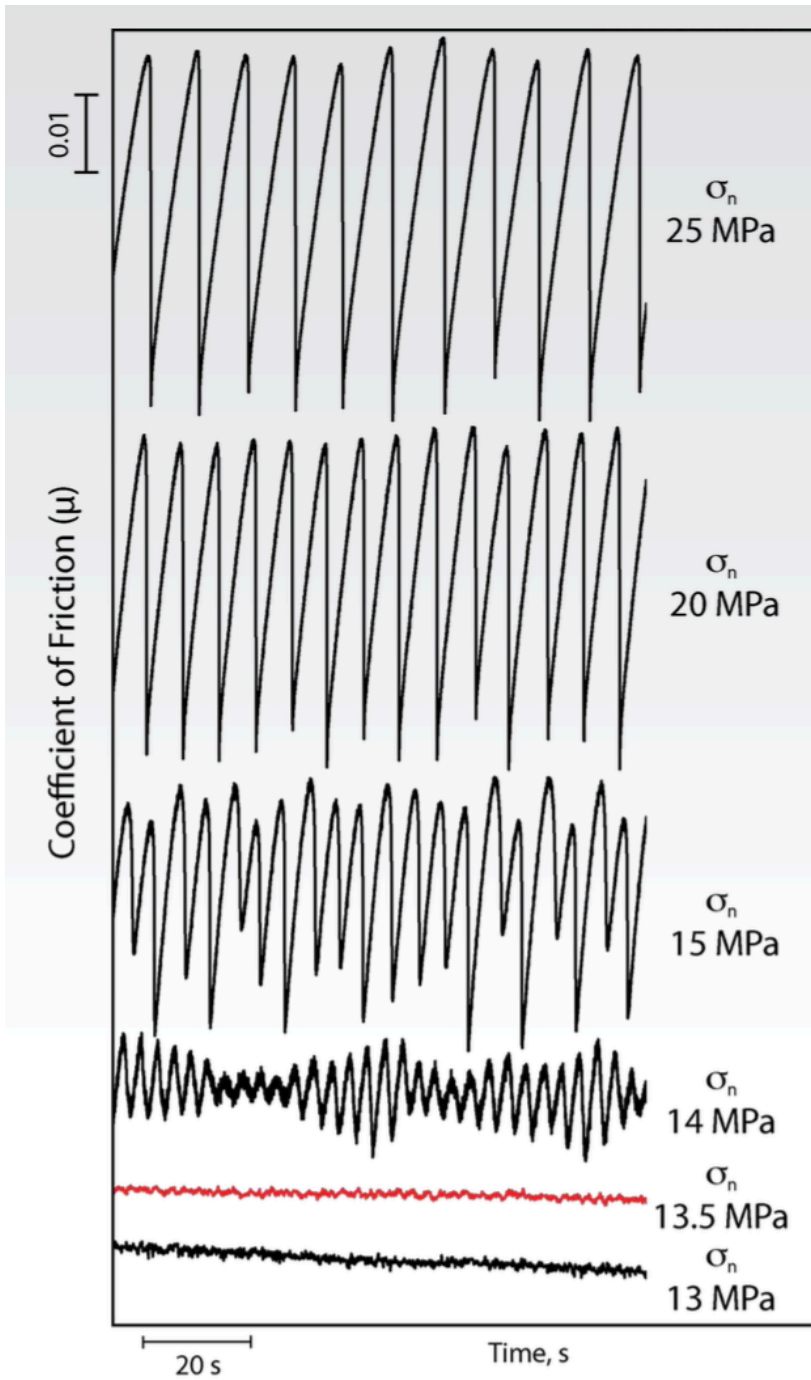
$$\frac{K'}{\sigma_n} < K_c = \frac{(b - a)}{D_c}$$





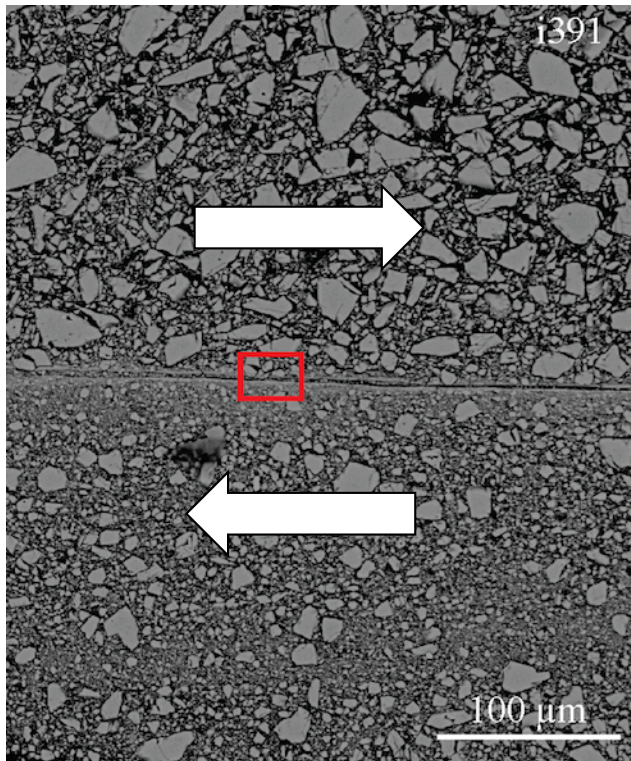
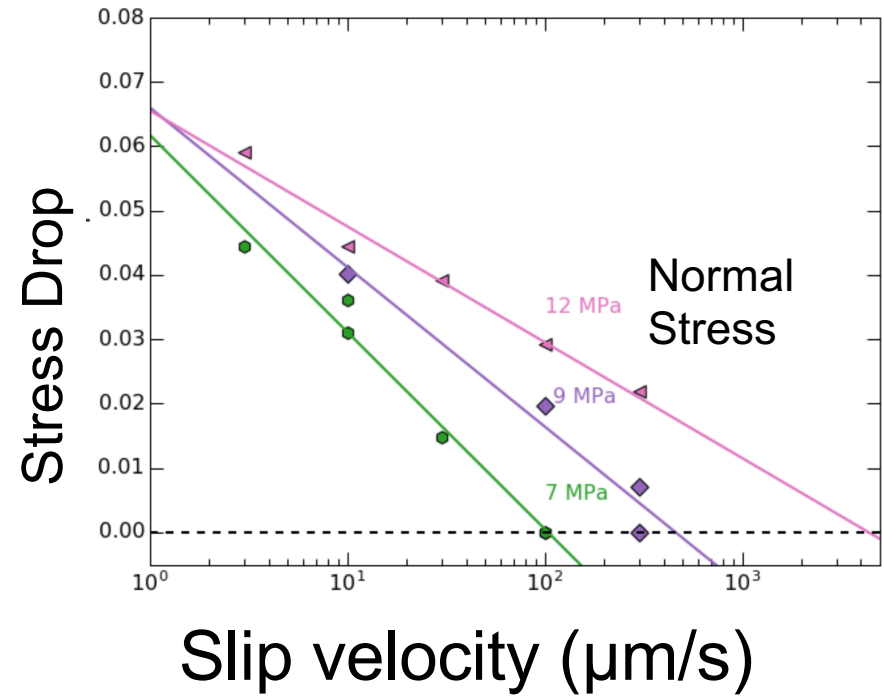
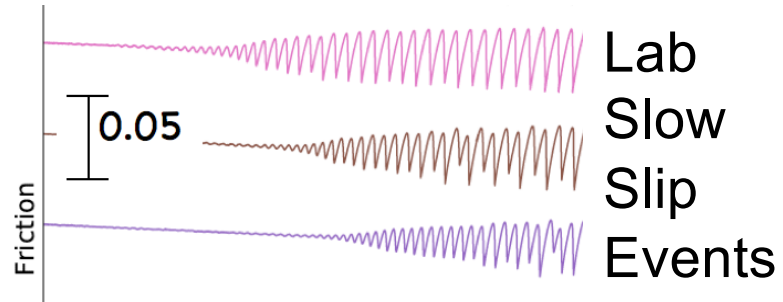
Unstable slip if

$$K < K_c \approx \frac{\sigma_n(b - a)}{D_c}$$

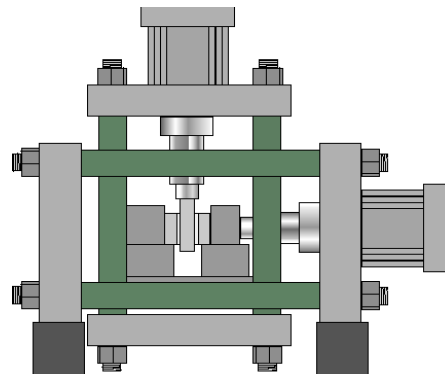


Period Doubling Near The Stability Boundary

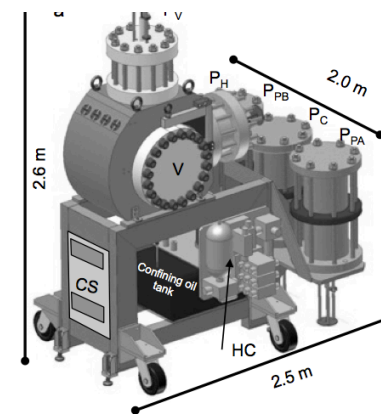
Slow Earthquakes --a view from the lab



Penn State



INGV Rome



1. Slow earthquakes could represent quasi-dynamic frictional instability (positive feedback, self-driven instability)
2. Recent lab work shows repetitive stick-slip instability for the complete spectrum of slip behaviors – A new opportunity to investigate the mechanics of slow slip
3. Mechanisms: *Why are they slow?*
 - A. Quasi-dynamic frictional instability (positive feedback, self-driven instability)
 - B. Rate dependence of the critical rheologic weakening rate, $K_c(V)$
 - C. Fracture mechanics: energy release rate equals frictional weakening rate, stress drop is quasidynamic because the dynamic force imbalance is negligible